



Attorney docket Number: ARL 01-37

Serial No. 10/628,424

Declaration of Jeffrey A. Read

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : **10/628,424** Confirmation No.: **5300**  
Applicant : **Read**  
Filed : **07/29/2003**  
TC/A.U. : **1745**  
Examiner : **Jane J. Rhee**  
  
Docket : **ARL 01-37**  
Customer No. : **37064 Office of Command Counsel**  
                  **U.S. Army Materiel Command**

For: **Electrolyte for Metal-Oxygen Battery and Method for Its Preparation**

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**DECLARATION OF JEFFREY A. READ OF PRIOR INVENTION IN THE UNITED STATES TO OVERCOME A CITED REFERENCE UNDER 37 CFR §1.131**

I, Jeffrey A. Read, declare as follows:

1. I am the sole inventor of the invention disclosed in the above-identified application for patent.
2. This declaration is to establish completion of the invention being claimed in the above-referenced application in the United States at a date prior to October 5, 2001, which is the effective date of U.S. Patent Application Publication US 2004/0091774 A1 (Narang, *et al.*) that was cited in the Final Office Action, mailed August 30, 2006.
3. I understand that pending claims 13-17 of the pending application have been rejected under 35 U.S.C. §102(e) as being anticipated by U.S. Patent Application Publication US 2004/0091774 A1 (Narang, *et al.*). I further understand that while U.S. Patent Application Publication US 2004/0091774 A1 (Narang, *et al.*) was Filed on 4 October 2002, it claims priority to U.S. Provisional Application Number 60/327,468, which was Filed on 5 October 2001.

4. I state that I have worked extensively in the area lithium-ion and lithium-air batteries for over 7 years in my current position within the Sensors and Electron Devices Directorate, Directed Energy Branch, Army Research Laboratory (ARL). I believe my invention was reduced to practice prior to the filing date of Narang, *et al.* on 5 October 2001. As evidence of my reduction to practice prior to 5 October 2001, attached at Appendix A is a copy of the Invention Disclosure submitted to the ARL Legal office on 2 May 2001. As further evidence of my reduction to practice I am submitting copies of pages 89, 91-95, 97-98, and 100 of notebook number 3 (No. 8830) and pages 1-8, 11-28, and 32-34 of notebook number 4 (No. 8115), which are attached as Exhibit B.

5. I state that the above-referenced application was filed on my behalf on July 29, 2003, and that I had no control over the processing, and Filing of the Application, which was under the control of the ARL Legal Office and the Center for Patent Prosecution Excellence at Headquarters, U.S. Army Materiel Command (AMC), Fort Belvoir, Virginia, after I submitted the Invention disclosure to the Legal Office. I exercised due diligence in submitting an invention disclosure and causing the above-referenced patent application to be filed, and, to the best of my knowledge, due diligence was exercised by the Legal Office, Headquarters AMC, and the law firm contracted with to prepare a Draft Patent Application for submission to Headquarters AMC for review and Filing.

7. I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true. These statements are made with the knowledge that willful false statements and the like so made are punishable by fine or

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Declaration of Jeffrey A. Read

imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

/s/Jeffrey A. Read  
Jeffrey A. Read

Date: 02/28/2007

61.37

### Army Research Laboratory Invention Disclosure

Instructions: Complete the below items, print a hard copy, sign, date, and send to the Intellectual Property Law Division of ARL (AMSRL-CS-CC-IP) (301-394-3790) (301-394-3972 FAX)

**INVENTION TITLE:** Electrolytes for Lithium-Air Cell

**INVENTORS:**

1st Name: Jeffrey A. Read  
Street Address: 14001 Coopers Lane  
City: West Friendship  
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Zip: 21794

2nd Name:  
Street Address:  
City:  
State:  
Zip:

3rd Name:  
Street Address:  
City:  
State:  
Zip:

4th Name:  
Street Address:  
City:  
State:  
Zip:

**INVENTION HISTORY:**

- a) DATE of Conception of the Invention: March 27, 2001
- b) PLACE: Army Research Center, Adelphi Laboratory Center, Adelphi, MD
- c) DATE of First Sketch/Drawing:
- d) PLACE:
- e) DATE of First Written Description of Invention: March 30, 2001
- f) PLACE: Army Research Center, Adelphi Laboratory Center, Adelphi, MD
- g) DATE of First Disclosure to Others: April 27, 2001
- h) PLACE: Army Research Center, Adelphi Laboratory Center, Adelphi, MD
- i) DATE of Completion of Model (if any):
- j) PLACE:
- k) DATE of Completion of Full Scale Item: April 5, 2001
- l) PLACE: Army Research Center, Adelphi Laboratory Center, Adelphi, MD
- m) DATE of First Test of Invention: April 9, 2001
- n) PLACE: Army Research Center, Adelphi Laboratory Center, Adelphi, MD
- o) RESULTS of First Test: 1M LiPF<sub>6</sub> EC:DMC > 1M LiPF<sub>6</sub> γ-Butyrolactone > 1M LiPF<sub>6</sub> Propylene Carbonate

LIST INDIVIDUALS HAVING FIRST HAND KNOWLEDGE OF THE INVENTION HISTORY:  
List their names, address and the features of the invention they have  
knowledge of

- a)
- b)
- c)
- d)

LABORATORY NOTEBOOK DATA:

List the lab notebook number and pages where the invention is described  
Notebook #3 (No. 8830), p.89, 91-95, 97-98, 100.  
Notebook #4 (No. 8115), p. 1-8, 11-28, 32-34.

PUBLICATION OF THE INVENTION:

If a description of the invention has been published, list the type of publication and the dates. Also, identify any further planned reports or publications. If none, so state. **None**

LIST ANY KNOWN RELATED PATENTS, PUBLICATIONS or PATENT APPLICATIONS:

Also identify any previous reports, drawings, publications, or correspondence describing or showing the invention. List any known closely related patents, patent applications, reports, publications, devices, or methods. If none, so state.

- K.M. Abraham and Z. Jiang, US Patent 5,510,209
- K.M. Abraham and Z. Jiang, J. Electrochem. Soc., 143 (1996) p.1

IS AN EMBODIMENT OF THE INVENTION AVAILABLE FOR INSPECTION? Yes

If so, where? Army Research Center, Adelphi Laboratory Center, Adelphi, MD

NATURE AND EXTENT OF PAST USE, PRESENT USE, AND FUTURE USE:

Past: **None**

Present: Laboratory Cells

Future: Batteries for Military and Commercial Applications

DESCRIPTION OF THE INVENTION:

Provide the following information concerning the disclosed invention and in the indicated sequence:

A. Specifically describe the invention and its operation. You may use and attach copies of sketches, prints, photographs, paper, and illustrations, which should be signed, witnessed and dated. Use numbers and descriptive names in descriptions and drawings. For inventions that are methods list the steps involved in the method. For inventions that are apparatus describe all the elements.

The invention is a series of electrolytes and electrolyte solvents used in an electrochemical cell where the cathode has access to oxygen from the air or other source. Additionally, the invention is a method of choosing electrolytes and electrolyte solvents used in an electrochemical cell where the cathode has access to oxygen from the air or other source.

Propylene carbonate(PC),  $\gamma$ -butyrolactone(g-BL), ethylene carbonate(EC), dimethyl carbonate(DMC), 1,2-dimethoxyethane(DME), tetrahydrofuran(THF), and

tetrahydropyran (THP) were used individually or in combination to prepare electrolyte mixtures with LiPF<sub>6</sub> salt. **Figure 1** compares the voltage versus capacity curves of lithium-air cells at 0.2mA/cm<sup>2</sup> with 1M LiPF<sub>6</sub> electrolytes made from these of solvents. **Figure 2** compares the specific capacity of a series of lithium-air cells at 0.05, 0.2 and 1.0mA/cm<sup>2</sup> with this same series of electrolytes.

From **figures 1** and **2** it can be observed that the discharge capacity and rate capability of the lithium air cell is directly related to the electrolyte used. By comparing the solubility of oxygen in these solvent mixtures

$$\text{PC:THF} \approx \text{PC:THP} > \text{g-BL} > \text{PC}$$

to the discharge capacity and rate capability of the lithium air cells

$$\text{PC:THF} > \text{PC:THP} > \text{g-BL} > \text{PC}$$

it is observed that the ability of the electrolyte to dissolve oxygen is directly related to the performance of the lithium air cell. The solubility of oxygen in EC:DMC and PC:DME is not known at this time. Higher oxygen solubility leads to higher discharge capacity and rate capability. By choosing solvents and salts that improve the solubility of oxygen in the electrolyte, the capacity and rate capability of the lithium-air cell can be improved. The solvents and salts that can be chosen are not limited to the ones mentioned in this disclosure but could include solvents such as perfluorobutylperfluorotetrahydrofuran (FC-80) which is known to have high oxygen solubility. Various salts and additives could also be used to improve oxygen solubility.

The lithium-air cell operates based on the principle that the air cathode (composed of a catalytic material such as a carbon black: Super P, Vulcan XC-72, or Acetylene Black; or other catalytic material such as MnO<sub>2</sub>), reduces oxygen from the air in an organic electrolyte based electrochemical cell. The catalytic material in the air electrode reduces O<sub>2</sub> to O<sub>2</sub><sup>-2</sup> or O<sup>-2</sup>. The reduced oxygen then reacts with lithium to form Li<sub>2</sub>O<sub>2</sub> or Li<sub>2</sub>O that deposits on the surface and in the pores of the air electrode. The operating voltage for such a cell is 2.0-2.8V, while the open circuit voltage is 2.85V. The catalytic material provides numerous sites for the deposition of Li<sub>2</sub>O<sub>2</sub> or Li<sub>2</sub>O due to a large surface area.

B. State the advantages of the invention over presently known devices, systems or processes. Also discuss/provide a background of the prior art.

Metal-Air batteries using aqueous electrolytes are well known with Iron/air, Zinc/air and Aluminum/air being the most studied. The zinc/air battery has been commercialized for hearing aid devices and pagers. Abraham and Jiang<sup>1,2</sup> recently described a lithium-air battery using organic electrolyte. This battery utilizes a carbon cathode (graphite, acetylene black) that reduces oxygen to form Li<sub>2</sub>O<sub>2</sub> or Li<sub>2</sub>O as described above.

The lithium-air cell operates based on the principle that the air cathode (composed of a catalytic material such as a carbon black: Super P, Vulcan XC-72, or Acetylene Black; or other catalytic material such as MnO<sub>2</sub>), reduces oxygen from the air in an organic electrolyte based electrochemical cell. The catalytic material in the air electrode reduces O<sub>2</sub> to O<sub>2</sub><sup>-2</sup> or O<sup>-2</sup>. The reduced oxygen then reacts with lithium to form Li<sub>2</sub>O<sub>2</sub> or Li<sub>2</sub>O that deposits on the surface and in the pores of the air electrode. The operating voltage for such a cell is 2.0-2.8V,

while the open circuit voltage is 2.85V. The catalytic material provides numerous sites for the deposition of  $\text{Li}_2\text{O}_2$  or  $\text{Li}_2\text{O}$  due to a large surface area.

The advantage of this invention over presently known devices is that the capacity and rate capability of the presently known devices can be improved by choice of electrolytes and electrolyte solvents. The discharge capacity and rate capability are directly related to the ability of the electrolyte solvent to dissolve oxygen. By properly choosing the electrolyte solvents from the list above or from any list of solvents known to be stable in an organic electrolyte based lithium-air cell, the discharge capacity and rate capability of the lithium air cell can be improved.

C. Discuss the problems which the invention is designed to solve, referring to any prior invention of a similar nature with which you may be familiar.

The invention is designed to solve the problem of providing more energy to portable devices. Storing more capacity in less weight is a desirable property of any new electrochemical system. This invention succeeds in providing more capacity and better rate capability.

D. List all known and other possible uses for the invention. None

E. List the features of the invention that are believed to be novel.

- 1) The invention provides a series of electrolytes that improve the capacity and rate capability of the organic electrolyte based lithium air cell.
- 2) The invention provides a method of choosing electrolytes that improve the capacity and rate capability of the organic electrolyte based lithium air cell.

SIGNATURE OF ALL INVENTORS:

All inventors must sign and date this document.

SIGNATURE: Jeffrey C. Lend

DATE: 05/02/01

ORGANIZATION: ARL

SIGNATURE: \_\_\_\_\_

DATE: \_\_\_\_\_

ORGANIZATION: \_\_\_\_\_

SIGNATURE: \_\_\_\_\_

DATE: \_\_\_\_\_

ORGANIZATION: \_\_\_\_\_

RIGHTS IN INVENTIONS MADE BY GOVERNMENT EMPLOYEES

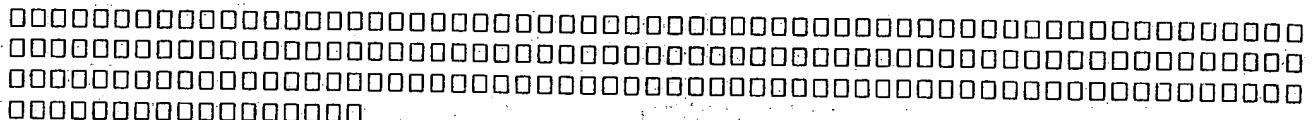
The Government shall obtain the entire domestic right, title and interest

APPENDIX A 4/7

in and to any invention made by any Government employee:

- a) During working hours, or
- b) With a contribution by the Government of facilities, equipment, materials, funds or information, or of time or services of other Government employees on official duty, or
- c) Which bears a direct relation to or is made in consequence of the official duties of the inventor.

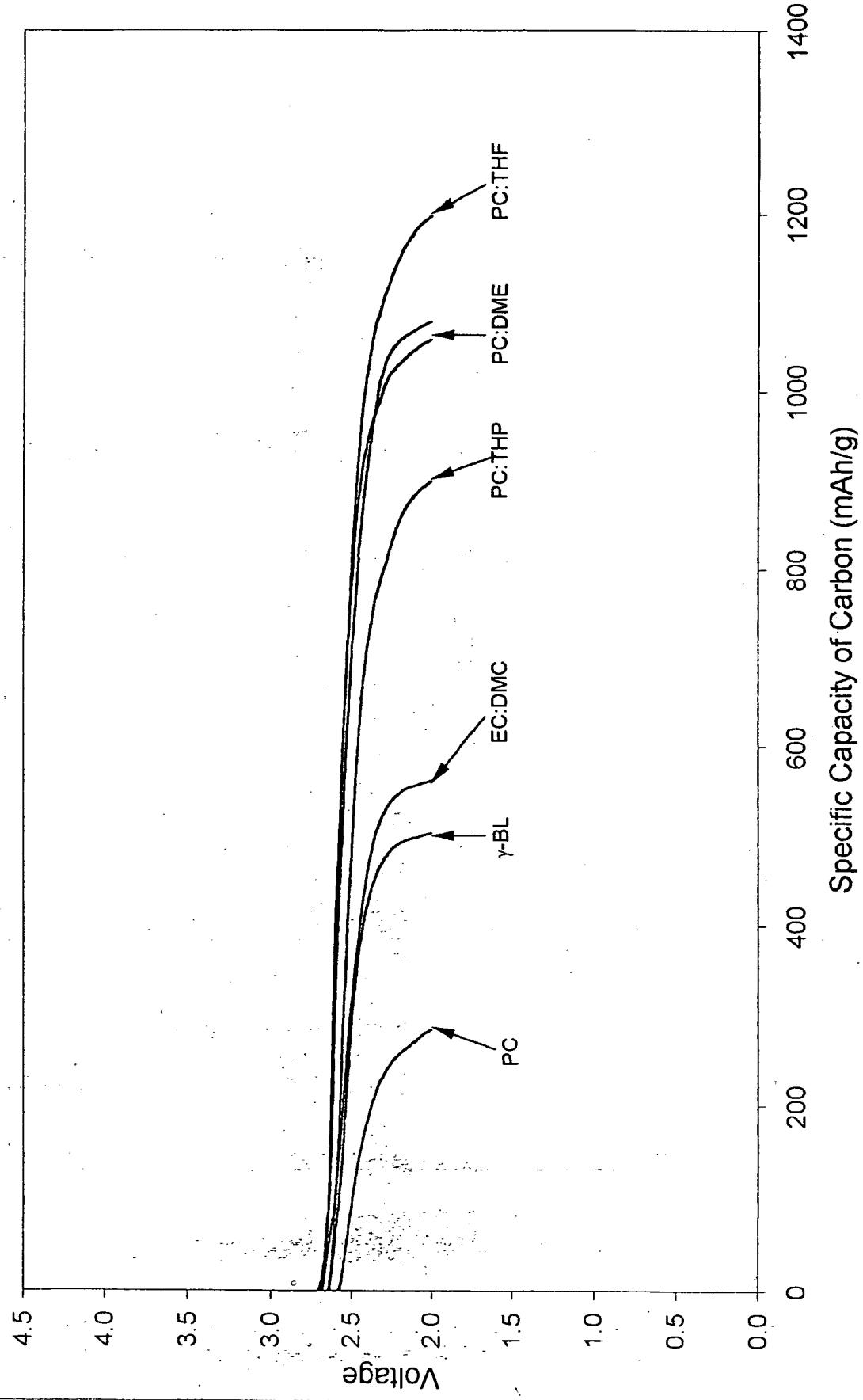
When you report your invention to the Intellectual Property Law Branch, you will be asked to sign a statement that you have read Executive Order 10096, 37 CFR 501, and AR 27-60 which discuss rights in inventions and the appeal process. You will also be asked to sign DA FORM 2871-R entitled Invention Right Questionnaire in which you will indicate either a desire to assign the invention to the Government, or to ask for a rights determination. (A short version of this form is available on the legal office web site)



<sup>1</sup> K.M. Abraham and Z. Jiang, J. Electrochem. Soc., 143 (1996) 1

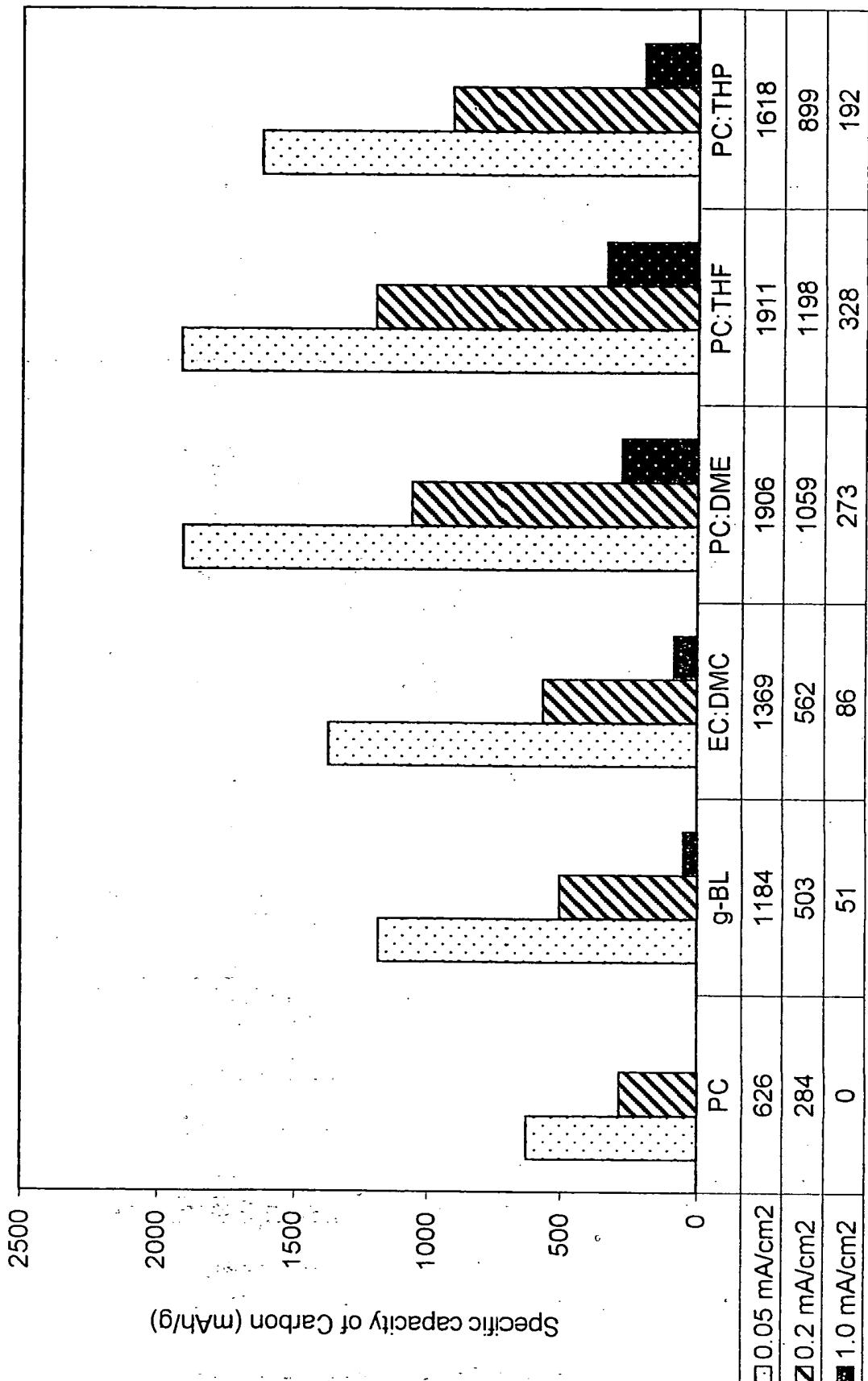
<sup>2</sup> US Patent 5,510,209

Figure 1  
(Specific Capacity of Carbon @ 0.2 mA/cm<sup>2</sup>)



Jayakar 5/02/01

Figure 2



APPENDIX A 7/7

Lithium-Air Cathodes

12/27/00

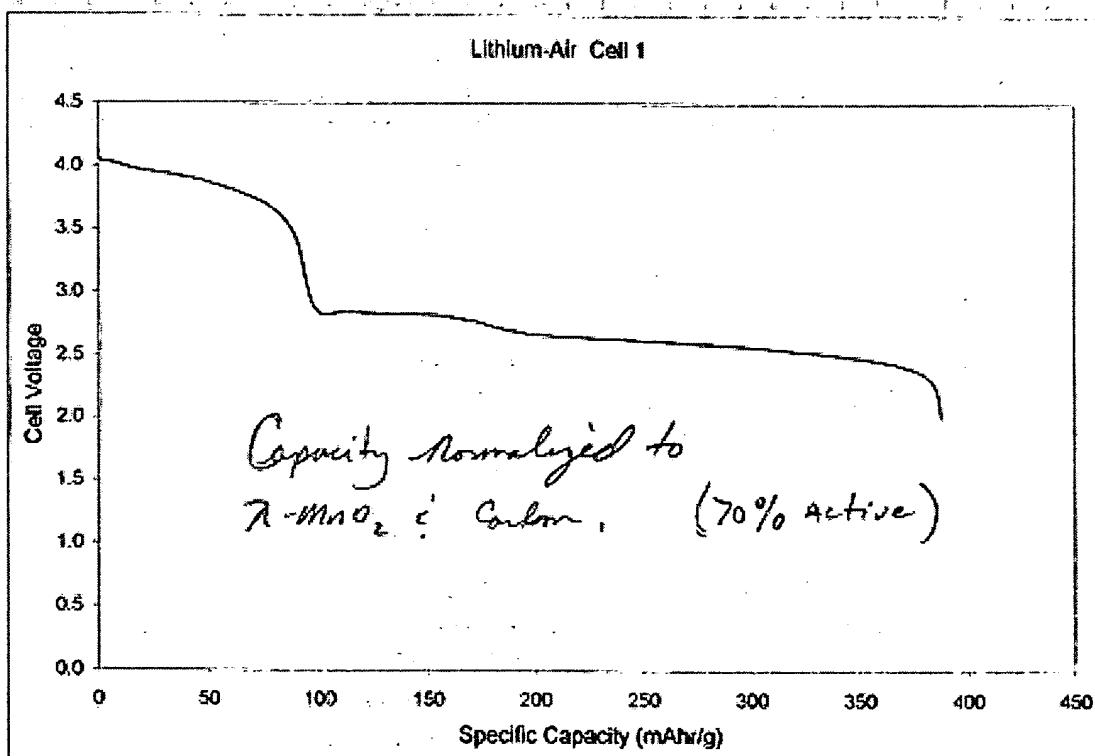
Initial Test Cell - 50%  $\text{LiMnO}_2$ , 20% Super P, 30% Vulcan 2801

Capacity = 21.9 mAh.

Cathode wt  $\approx$  0.806 g (70% Active)

→ When disassembled, the cathode appeared to have circles on the lithium side of the cathode where the air holes were situated on the back side of the cathode. The cathode was hard in this area when examined, while areas not exposed to air holes were soft.

→ Cell: Li<sup>+</sup>, Celgard 2300, 1M LiPF<sub>6</sub> PC/DME (1:1), Air cathode on Al Grid, O<sub>2</sub> (pure) in the pouch.

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ARLUO2.xls  
12/27/00

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Signature

12/27/00  
Date

## EXHIBIT B Z138

Continued from Page

HDL

No.

Book

8830

Page

91

Lithium - Air Cathode

12/28/00

$2-\text{MnO}_2$ (Am-46)	- 25.0%
Super P	- 15.0%
Kynar 2801	= 20.0%
DBP	- 40.0%

$2-\text{MnO}_2$ (Am-46)	- 8.4538 g
Super P	5.0713 g
Kynar 2801	- 6.7282 g
DBP	- 13.4261 g

Acetone = 102.44 g + 10 g

gap = 1.194 mm

Cote: N3 PG 1A

A Initial Formula

$2-\text{MnO}_2$ (Am-46)	= 25%
Super P	= 15%
Kynar 2801	= 20%
DBP	= 40% $\leftarrow$ Increase to 70%

0

0

$2-\text{MnO}_2$ (Am-46)	= 12.5%
Super P	= 7.5%
Kynar 2801	= 10.0%
DBP	= 70%

$2-\text{MnO}_2$	= 8.3261 g
Super P	= 5.1085 g
Kynar 2801	= 6.7095 g
DBP	= 46.5279 g

Acetone = 73.5 g + 42.8 g - 10.2 g = 106.1 g

Gap 1 = 1.194 mm

Gap 2 = 2.286 mm

Cote N3 PG 1B

Continued on Page \_\_\_\_\_

Signature

12/28/00

Date

Read and Understood

Date

Read and Understood

Date

Lithium - Air Cathodes

12/28/00

$$\text{Li-MnO}_2 = 18.75\%$$

$$\text{Super P} = 11.25\%$$

$$\text{Gum 280} = 15\%$$

$$\text{DBP} = 55\%$$

$$\text{Li-MnO}_2 = 8.31019 \quad (\text{Avr-46}) \quad (18.64\%) > 30.10\%$$

$$\text{Super P} = 5.00819 \quad 5.10277 \quad (11.46\%)$$

$$\text{Gum 280} = 6.83639 \quad (15.33\%)$$

$$\text{DBP} = 24.3324 \quad (54.57\%)$$

1/2/00

44.5865 g total

$$\text{Accuracy} = 82.5 \pm 7.7\%$$

$$\text{Cast @ } 6454 \text{ mm } \delta 2.286 \text{ mm}$$

$$\text{Dried to 10 mils } \pm 24 \text{ mils}$$

(lot # N3P92A)

Cell Build - (5 cm<sup>2</sup> cell)

1/2/00

1/8/01 1/10

Cell #	Cath wt.	OCV	Ede los.	wt in		wt in	wt in	wt in
				air	water			
LA 1	1.704 g	4.144	89.9260252	14.82 g	-56.37 g	912	19.82	21.2 °C 22.0
LA 2	1.3641 g	Short					761 mAh 1/1/01	749 mAh 7/5
LA 3	1.7266 g	4.13 V	39.52	15.34 g	-57.07 g	56.81 g	-37.50	-34

Cathode lot # N3P92A

LAM 1 @ 300F, 1 pass

Extract 3X in MeOH for 3.0 min

Dried under vacuum 2 hrs. 2:00 - 4:00 pm

Activated in bath of 3M LiPF<sub>6</sub> PC-DME (lot # N3P84B)Measured impedance after overnight rest 1/3/01, filled by w/O<sub>2</sub>.  
Put on to discharge at 1 mA/cm<sup>2</sup> to 2.0 V. PC-X IR 200 Test.  
Measured O<sub>2</sub> volume change

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Signature

1/10/01  
Date

O<sub>2</sub> volume vs. mAh Capacity

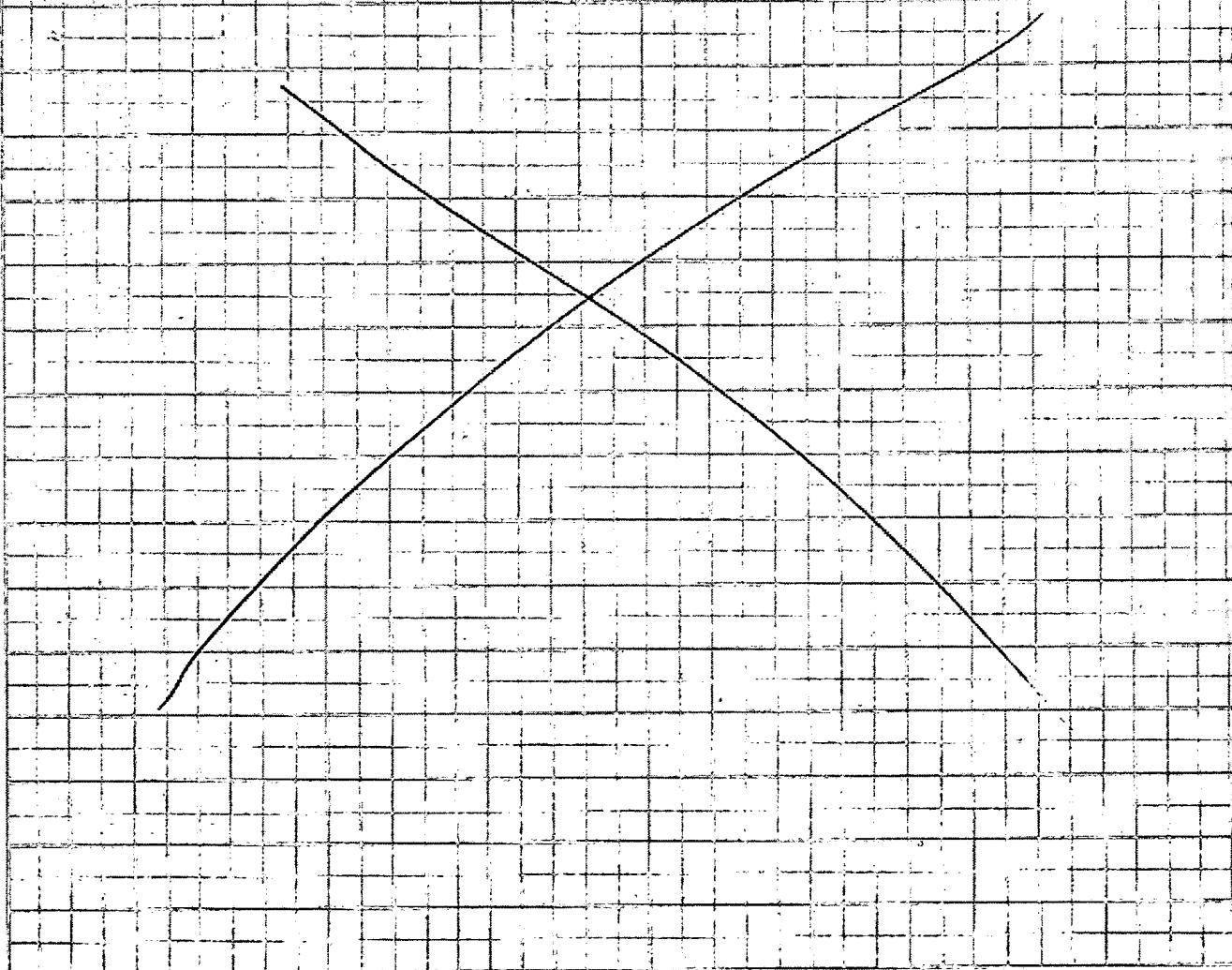
1/4/01

 $4e^-$  - Assume  $4e^-$  reaction for reduction of O<sub>2</sub>. (4Li + O<sub>2</sub> → 2Li<sub>2</sub>O)

$$\frac{4 \text{ moles } e^-}{\text{mole } O_2} \times \frac{96500 \text{ C}}{\text{mole } e^-} \times \frac{1 \text{ liter}}{22.4 \text{ L}} \times \frac{1 \text{ A}}{1000 \text{ mL}} \times \frac{1 \text{ hr}}{1 \text{ C/s}} \times \frac{1000 \text{ A}}{3600 \text{ S}}$$

 $2e^-$  - Assume  $2e^-$  reaction for reduction of O<sub>2</sub>. (2Li + O<sub>2</sub> → Li<sub>2</sub>O<sub>2</sub>)

$$\frac{2.40 \text{ mAh}}{\text{mL}} \text{ for } \frac{.418 \text{ mL}}{\text{mAh}}$$



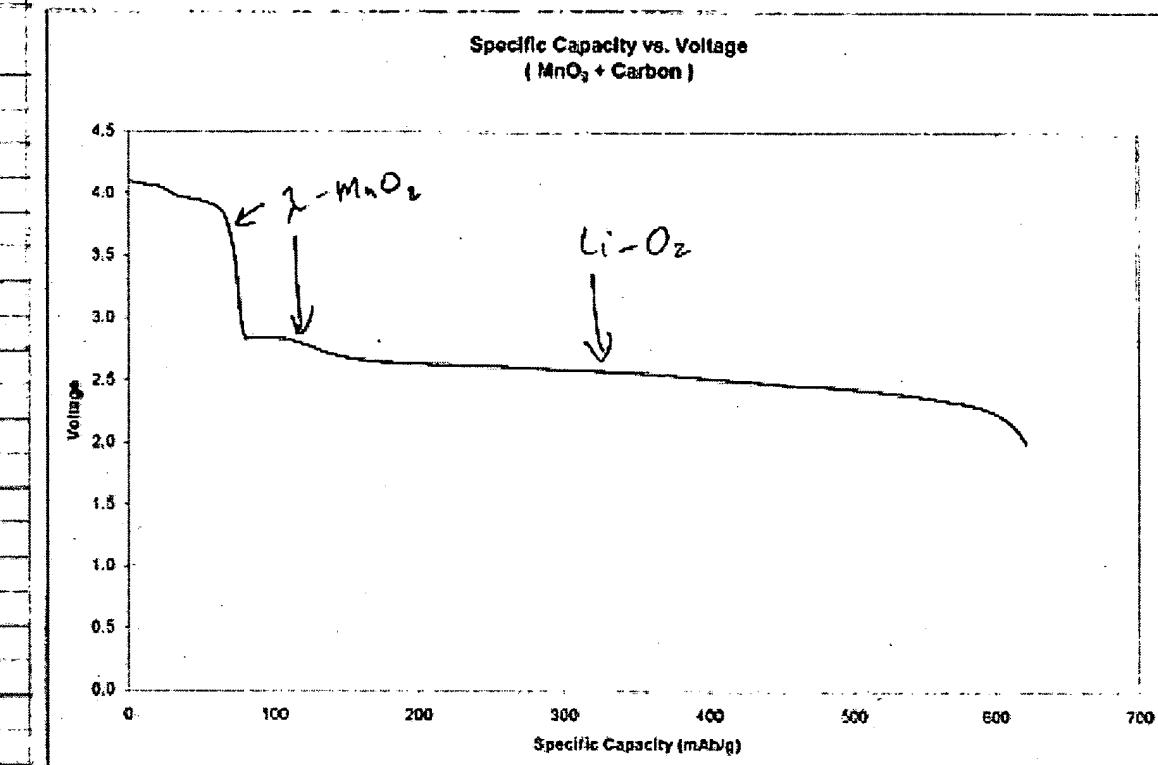
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Signature

Jeffrey Alred  
Date

## Discharge Profiles of Lithium-Air cell (LA1)

1/8/01

Jeffrey Read  
ARLLithium-Air Series A.xls  
1/8/01Cell discharged at  $1 \text{ mA/cm}^2$  to 2 V.

Active weight = .0513 g

Total capacity = 31.93 mAh

The voltage curve shows both the  $\text{Li}-\text{MnO}_2$  discharge profile and the  $\text{Li}-\text{O}_2$  discharge voltage profile.

The gas volume change associated with the discharge was indicative of the formation of  $\text{Li}_2\text{O}_2$ , as shown on the next page. The OCV measured by at the end of discharge seems to indicate that the discharge product is  $\text{Li}_2\text{O}_2$ .

Continued on Page \_\_\_\_\_

Signature

1/8/01  
Date

Read and Understood

Date

Read and Understood

Date

Calculation of  $2e^-$  or  $4e^-$  discharge reaction. 1/8

The volume measurements on LA1 were used to determine whether  $Li_2O_2$  or  $Li_2O$  were being formed or discharged.

The OCV indicated  $Li_2O$  formation.

The gas volume measurements indicated  $Li_2O_2$  formation.

**Before Discharge**

Before Wt in Air (g) =	14.82
Wt in Water (g) =	-46.22
Pressure (mm Hg) =	761
Temperature (C) =	19.8
Corrected Initial Gas Volume =	45.79
Uncorrected Initial Gas Volume =	49.04

**After Discharge**

Wt in Water (g) =	-37.50
Pressure (mm Hg) =	749
Temperature (C) =	21.2
Corrected Final Gas Volume =	36.87
Uncorrected Final Gas Volume =	40.32

Change In Gas Volume (Corrected) =	8.91
Change In Gas Volume (Uncorrected) =	8.72

Calculate mAh due to Lambda =	7.941	at 250	mAH/g
Total Cell Capacity =	31.93		
mAh/g Due to Air =	23.989		
mAh/ml =	2.69		

mAh/ml (2 electron reaction) =	2.4
mAh/ml (4 electron reaction) =	4.8

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Signature
1/8/01  
Date

Read and Understood \_\_\_\_\_

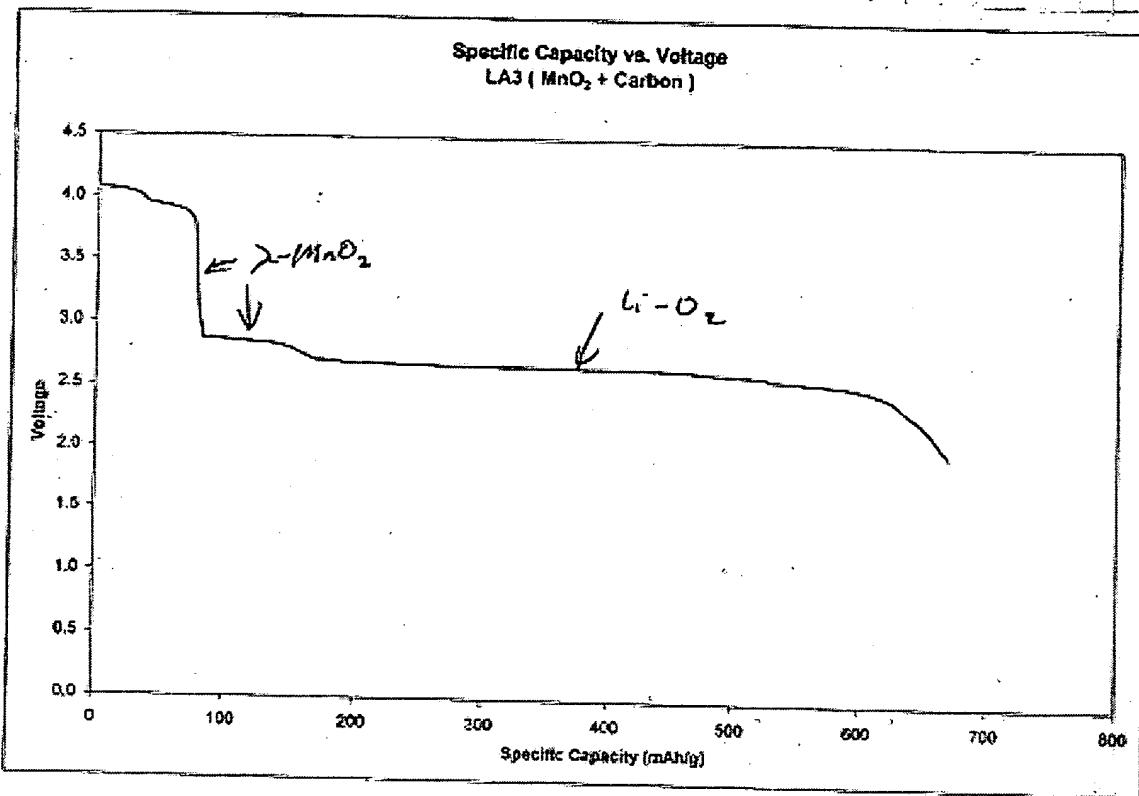
Date \_\_\_\_\_

Read and Understood \_\_\_\_\_

Date \_\_\_\_\_

Discharge Profile of Lithium-Air Battery LA3 1/16/01

LA3 (SC1)

Jeffrey Read  
ARLLithium-Air Series A335  
1/16/01

Cell Discharged at 1 mA to 2.0 V

Active wt. = 218.7 g

Total Capacity = 146.696 mAh

The gas volume change is indicative of a 2e<sup>-</sup> reaction for oxygen reduction i.e. the formation of Li<sub>2</sub>O<sub>2</sub>, as shown on the next page.

Continued on Page \_\_\_\_\_

Signature

1/16/01

Date

Read and Understood

Date

Read and Understood

Date

Determination of 2e<sup>-</sup> or 4e<sup>-</sup> reaction - Li-Air 1/16/02

## Before Discharge

	LA1	A3
Before Wt in Air (g) =	14.82	15.34
Wt in Water (g) =	-46.22	-56.81
Pressure (mm Hg) =	761	761
Temperature (C) =	19.8	19.8
Corrected Initial Gas Volume =	45.79	56.16
Uncorrected Initial Gas Volume =	49.04	60.15

## After Discharge

Wt in Water (g) =	-37.50	-11.32
Pressure (mm Hg) =	749	754.8
Temperature (C) =	21.2	22.2
Corrected Final Gas Volume =	36.87	13.47
Uncorrected Final Gas Volume =	40.32	14.66

Change in Gas Volume (Corrected) = 8.91      42.69  
 Change in Gas Volume (Uncorrected) = 8.72      45.49

Calculate mAh due to Lambda = 7.941      33.860      at 250 mAh/g  
 Total Cell Capacity = 31.93      146.696  
 mAh/g Due to Air = 23.989      112.837  
 mAh/ml = 2.69      2.64

mAh/ml (2 electron reaction) = 2.4      2.4      2e<sup>-</sup>  
 mAh/ml (4 electron reaction) = 4.8      4.8

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Signature

1/16/02  
Date

Li-Air Plug Type Electrodes

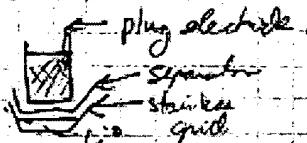
1/18/01

Electrodes were built by placing a plug of electrode material inside a  $\frac{1}{4}$ " diameter glass tube 2.5 cm long, and placing glass rods in each end to compress the plug while being heated to  $135^{\circ}\text{C}$ .

The plug is heated for ~5 minutes until it bonds to itself.

The plug is then extracted in Melt 3X for 20 minutes and dried under vacuum at  $100^{\circ}\text{C}$  for 2 hours.

The plug is wrapped in aluminum foil and placed back in the glass tube and a 2-electrode cell is built vs. Li metal.



Tube dia = .235" = 5.96 cm  
Tube L-Area = .280 cm<sup>2</sup>

The plug electrode is held to the separator/platinum with an insulated wire and placed in a foil bag. Electrolyte is added to the cell with electrolyte being added directly to the plug electrode. The excess electrolyte is removed from the plug area and the bag is sealed with 2 bags coming out for electrical connection. The bag is cut open at one end and  $\text{O}_2$  is put in and the bag is sealed.

The plug is put on test at  $1\text{mA}/\text{cm}^2$ .

Electrode material used: N3 P91B

Electrolyte - N3P90B (one to one to CAP3)

After

Cell #	Cath. wt.	Ox V	Below 0.5 (Air)	Before wt. in air	wt (23.7°C)	Discharge in air	in air
LAP1	.1612 g extr.	4.11	761 m	11.82 g	-63.90	3.0.0 mAh	11.82 g -57
LAP2	.1547 g extr.	4.12	1022 m	12.66 g	-77.61	42 mAh	12.66 g -86
LAP3	.1481 g extr.	4.11	1063 m	10.18 g	-59.74	Fully	10.31 g -35

LAP1 - Discharge Air portion 25% - Total Discharge = 30 mAh - Prog. PCXIRM  
 LAP2 - Discharge Air portion 50% - Total Discharge = 42 mAh - Prog. PCXIRN  
 LAP3 - Discharge Air portion 100% - Total Discharge = 68 mAh - Prog. PCXIRO  
 X) Discharge at  $20.0^{\circ}\text{C}$

Status : 3/21/01 Cells LAP1 & LAP2 are discharged.  
LAP3 still discharging

4/8/01 Cell finished, wts taken in air & water

Continued on Page

*Jeffrey Alfred*  
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3/21/01  
Date

Li-Air Cells - Rate Study & Argon Blanks

1/19/01

Cathode : N3P92A (Both 10 & 24 mils)  
 Electrolyte: 1M LiPF<sub>6</sub>, PC:DME (1:1) Lot: N3P90B

Cell #	Cath (mil)	Cath wt (g)
LA4	10.9	19019
LA5	11.0	1920
LA6	10.9	1905
LA7	10.9	1939
LA8	11.0	1966
LA9	10.9	1944
LA10	10.9	1929
LA11	10.9	1933
LA14	23.5	3.851
LA15	23.6	3.820
LA16	23.5	3.935
LA17	23.5	3.743
LA18	23.2	3.802
LA19	24.1	3.850
LA12	23.6	3.849
LA13	23.8	3.870

Lams @ 300°C, 1 pass on treated Al grid.  
 Extracted 3Y in MeOH for 30 minutes each time.

1/22/01

Dried cathodes in 100°C oven for 2 hours.

Built into 2 electrode cells w/ lithium and Rogone separator. Used 3-4 tins per cathode to hold it flat.

Sold in foil laminate -

~6 ml of 1M LiPF<sub>6</sub> PC:DME Lot: N3P90B for each cell

Cells for Rate Study : LA6, LA7, LA8, LA9, LA10 "light"  
 LA14, LA15, LA16, LA17, LA18, "Heavy"

Cells for Argon Study : LA11, LA19

Hold backs : LA4, LA5  
 LA12, LA13

Continued on Page

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Li-Air Rate Study (20°C)

<u>All</u>	<u>2°C</u>	<u>wt. in air</u>	<u>wt. in water</u>	<u>Discharge Rate</u>	<u>(After dis.)</u>	<u>(After dis.)</u>	<u>1/23/01</u>
LA16	73.252	15.35g	-70.80	.05 mA/cm <sup>2</sup>	still	2/8/01	
LA17	67.52	14.59	-35.12	.1 mA/cm <sup>2</sup>	22.87		
LA18	71.752	14.91	-81.02	.2 mA/cm <sup>2</sup>	-74.19		
LA19	65.752	14.85	-62.88	.5 mA/cm <sup>2</sup>	60.57		
LA1D	66.02	15.38	-62.00	1.0 mA/cm <sup>2</sup>	-61.80		
LA14	69.752	14.78	-90.63	.05 mA/cm <sup>2</sup>	still	2/8/01	
CA15	60.652	15.17	-135.81	.1 mA/cm <sup>2</sup>	-114.18		59.49g
CA16	60.552	14.61	-180.48	.2 mA/cm <sup>2</sup>	-107.54		
CA17	59.752	15.09	-106.45	.5 mA/cm <sup>2</sup>	-103.06		
CA18	62.752	14.77g	-93.79	1.0 mA/cm <sup>2</sup>	-72.56		
			21.0°C		22.3°C	22.1°C	24.0°C
			760 mm Hg		744 mm Hg	758 mm Hg	761.9 mm Hg

Li-Air Argon Blended Study (20°C) (After dis.)

1/23/01

<u>All</u>	<u>2°C</u>	<u>wt. in air</u>	<u>wt. in water</u>	<u>Discharge rate</u>	<u>(After dis.)</u>
LA11	42.152	14.53g	-15.18	.1 mA/cm <sup>2</sup>	-15.61
LA19	29.952	14.98g	-16.10	.1 mA/cm <sup>2</sup>	-16.13
			21.0°C		22.3°C
			760 mm Hg		744 mm Hg

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## EXHIBIT B 12/38

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3

Casting Air electrodes Vulcan XC-72 in Black Pearls

Cast #1

Vulcan XC-72 = 13.4603 g (30.24%) > 45.62%  
 Kynar 2801 = 6.8443 g (15.38%)  
 DBP = 24.2008 g  
 Acetone = 48.94 g

1/24/01

Dry mix, Add Acetone / DBP mix, blend for 30 seconds on setting 1  
 Lumpy mixture cast @ 1.194 mm & 7.286 mm

[lot: N4 P3A]

lumpy film - laminates well

Cast #2

Black Pearls 2000 = 13.3641 g  
 Kynar 2801 = 6.8830 g  
 DBP = 24.2121 g  
 Acetone = 109 g

Cast @ 2.286 mm, Thick paste, Film CRACKED,

Li-Air Cells Carbon Surface Area Study

Cell #      Coated Cath.      Cath. area

Cathode lot #: N4 P3A  
 Rate

1/29/01

1/31/01

75.62 °C  
 22.3 wt-%  
 0.1 mAh  
 capacity

Cell #	Coated Cath.	Cath. area	Rate	2.0 mAh	OCV	wt-% Air	1/31/01
LA20	42 mls	.5810	.05 mA/cm <sup>2</sup>	34.82	3.2858 V	16.16 g	-103.159 g
LA21	49 mls	.5805	.1 mA/cm <sup>2</sup>	40.92	3.2877 V	15.08 g	-56.86 g
LA22	40 mls	.5427	.2 mA/cm <sup>2</sup>	32.82	3.2924 V	14.66 g	-186.025 g
LA23	43 mls	.5837	.5 mA/cm <sup>2</sup>	32.25	3.2871 V	15.23 g	-120.309 g
LA24	40 mls	.5484	1.0 mA/cm <sup>2</sup>	42.55	3.2904 V	14.35 g	-121.015 g

LAMJ @ 300E, 1 pass on treated Al grid  
 Extract 3x in methanol

dry at 60°C under vacuum for 1/2 hours.

Seal in foil bags w/ Lithium Anode.

Add ~6 grams of 1M LiPF<sub>6</sub> PC:PMMA (1:1) lot N3P908

Measure temperature after 1/2 hrs.

Add Pure O<sub>2</sub>

Discharge at .05, 1, 2, 5, 1.0 mA/cm<sup>2</sup>

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Li-Air Cells

LA21.

Li-Air cell LA21 was stripped on 1/31/01 to add more O<sub>2</sub>.Stopperd  $\rightarrow$  wt in water = 28.24 g  $\rightarrow$  Added O<sub>2</sub>  $\rightarrow$  [wt in Air = 14.86 g]  $\rightarrow$  wt in water.After Discharge (wt in water = 37.85 g)  $\leftarrow$  22.3°C  
 $751 \text{ mm Hg}$ LA22, LA23, LA24 - (were) replaced back on test after they were weighed in water at .05 m A/cm<sup>2</sup> to see what the low rate capacity is.A  
22.3°C  
751 mm Hg

2/1/01

Li-Air Cell (Practical)\* 2 - 16 cm<sup>2</sup> cathodes on Li central anode

Cathodes: N4P3A

Cathode wt total = 7.45 g - 1.19 - 2.3 = 7.03 g

Conductive thickness 6.5 - 7.5 mils

Li-Anode wt = 63 g

Separator: Raytec Type Absorber

Electrodes cracked when trying to build cell. Only 1/2 cell (1/2 of cath.) was used.

Pinhole in package to allow O<sub>2</sub> entry

Impedance ~ 10.5 Ω @ 10 Hz

Cell = LA25

Discharge at 0.5 m A/cm<sup>2</sup> = 1.6 m A

PCX1R16

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Ci - Air Cells

→ Put LA8 on charge / discharge cycling for 10 cycles to see if it will recharge.

2/1/61

Teflon Cathode Prep

2/1/61

Super P = 3.6620 g

IPA/water = 19 ml IPA to 30 ml water

1 ml of IPA/water mix added to Super P

Teflon Emulsion = 2.8378 g (0.5% solids)

Teflon Emulsion + water = 11.3884 g (10.03% solids soln)

Add 3ml of Teflon/DEwater mix in 1ml aliquots. (91.05% C)

Mix Paste after each Aliquot

8.95% T

Paste onto Treated Al grids

Put on blotting paper w/ weight then copy paper + w/

Allow to dry with weight overnight.

→ Coatings cracked badly

2/2/61

→ Pressed on Carver at 4000 & 7000 pounds for 16 cm<sup>2</sup> size coating.

Cell #	cath grid wt	press 2 id wt	grid thickness
LA26	.0886g	9000/16	10.532
LA27	.1182g	9000/16	17.702
LA28	.1685g	4000/16	19.752
LA29	.1612g	4000/16	31.051

Activated in 10 ml of 3M. PE PC Date 6/1 130.903

Run impedance

Filled w/ O<sub>2</sub>Tested at .5 mA/cm<sup>2</sup>

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H. Alford

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## EXHIBIT B 15/38

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6  
PageCells w/ Teflon cathodes - Additional Testing

2/5/01

Cells LA27, 28 & 29 were put back on heat to discharge at 5 mAh after being recharged to various potentials at 2 mA on 2.

<u>Cell</u>	<u>Charge to</u>	<u>Test</u>	<u>Filenum</u>
LA27	3.20V	ACX5-A	LA27B
LA28	3.50V	RCX5-B	LA27C
LA29	4.15V	RCX5-C	LA27D

Cell LA26 was destroyed.

Preparation of 10% solid Teflon solution

2/6/01

Teflon 30 Emulsion (61.5% solids) = 36.8713 g

DI water = ~~191.91g~~ 191.91g

$$\frac{36.8713 \times .615}{(191.91 + 36.87)} \times 100 =$$

$$\frac{36.8713 \times .615 \times 100}{(191.91 + 36.87)} = 9.91\% \text{ Teflon}$$

Preparation of Teflon paste for cathodes

2/6/01

IPA/water = 13.7 ml IPA diluted to 39 ml with DI water

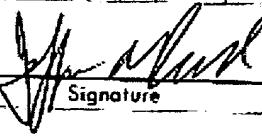
Super P = 3.0986g

20ml of IPA/water added to Super P + 7ml

Teflon 30 (10% Emulsion - 9.91% actual) = 1.06g + 1.08g + 1.06g

Mix to shiny paste. Over

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## EXHIBIT B 1b/38

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2/6/61

Teflonized electrodes

8/31	grid
grid	wt.
1	.0375
2	.0403
3	.0408
4	.0401
5	.0399
6	.0390

The Siga P/Teflon 30 paste from p. 6 was coated onto the Aluminum grid 1-6 above. The paste was put on both sides of the grid and then a 23 mil shim was used to make a level, constant thickness electrode. The excess paste was squeezed out. The electrodes were between Al foil during the leveling process and then left there to dry under a constant weight at 85°C in a constant temperature oven.

When dried the electrodes cracked and upon pressing flaking they did not form good electrodes.

2/13/61

Impedance Measurements on discharged cells

## After Discharge

Cell	Imp. @ 10Hz	Imp. @ 3x10 <sup>6</sup> Hz
LA.8	35.0 Ω	.090Ω
LA.1	31.6 Ω	.102Ω
LA.3	32.5 Ω	.095Ω
LA.6	45.1 Ω	.091Ω
LA.7	49.9 Ω	.095Ω
LA.9	57.6 Ω	.095Ω
LA.10	58.3 Ω	.096Ω
LA.11	34.6 Ω	.096Ω
LA.14	46.5 Ω	.099Ω
LA.15	43.4 Ω	.094Ω
LA.16	55.9 Ω	.073Ω
LA.17	56.7 Ω	.093Ω
LA.18	49.1 Ω	.069Ω ???
LA.19	67.3 Ω	.094Ω
LA.21	27.8 Ω	.072Ω
LA.27	44.0 Ω	.093Ω
LA.28	22.7 Ω	.096Ω
LA.29	8.7 Ω	.100Ω

## Before Discharge

Cell	Imp. @ 10Hz	Imp. @ 3x10 <sup>6</sup> Hz
	71.7 Ω	.100Ω
	67.0 Ω	.110Ω
	53.8 Ω	.111Ω
	73.2 Ω	.096Ω
	67.5 Ω	.099Ω
	65.7 Ω	.101Ω
	66.0 Ω	.097Ω
	42.1 Ω	.102Ω
	69.2 Ω	.103Ω
	60.6 Ω	.101Ω
	60.5 Ω	.101Ω
	52.7 Ω	.106Ω
	62.7 Ω	.101Ω
	74.9 Ω	.103Ω
	40.9 Ω	.097Ω
	17.6 Ω	.096Ω
	19.7 Ω	.096Ω
	31.0 Ω	.095Ω

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## EXHIBIT B 17138

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Page

Cut-off Voltage Studies of Li-O<sub>2</sub> cells

2/15/01

Cells LA 4, 5, 12 & 13 (pc) were activated by adding a 6ml of 1M LiPF<sub>6</sub> PC:DMC (1:1) to the N3P9OB.

Cells will be charged and discharged between 2 different voltage

LA 4, LA 12 - 4.15V to 2.0V

LA 5, LA 13 - 4.15V to 2.5V

Cell

Imp<sub>OC</sub>  
10<sup>4</sup> Ω

OCV

Test

LA 4

62.92

4.118

4.15V → 2.0V

LA 5

33.32

4.114

4.15V → 2.5V

LA 12

52.52

4.117

4.15V → 2.0V

LA 13

53.62

4.119

4.15V → 2.5V

Channel

Test

1

LA X1B

2

LA X1C

3

LA X1B

5

LA X1A

LA 5 - wt. in Air before cycling = 13.87 g

LA 5 - wt. in water before cycling = 160.95 g (752.5 mm Hg, 23.1 °C)

2/20/01

Cells LA 27, LA 28 and LA 29 were put back on discharge at 1.0 after the impedance testing on 2/13/01.

Cell

Test.

Filename

LA 27

PCXLR

LA 27C

LA 28

PCXLQ

LA 28C

LA 29

PCXLIA

LA 29C

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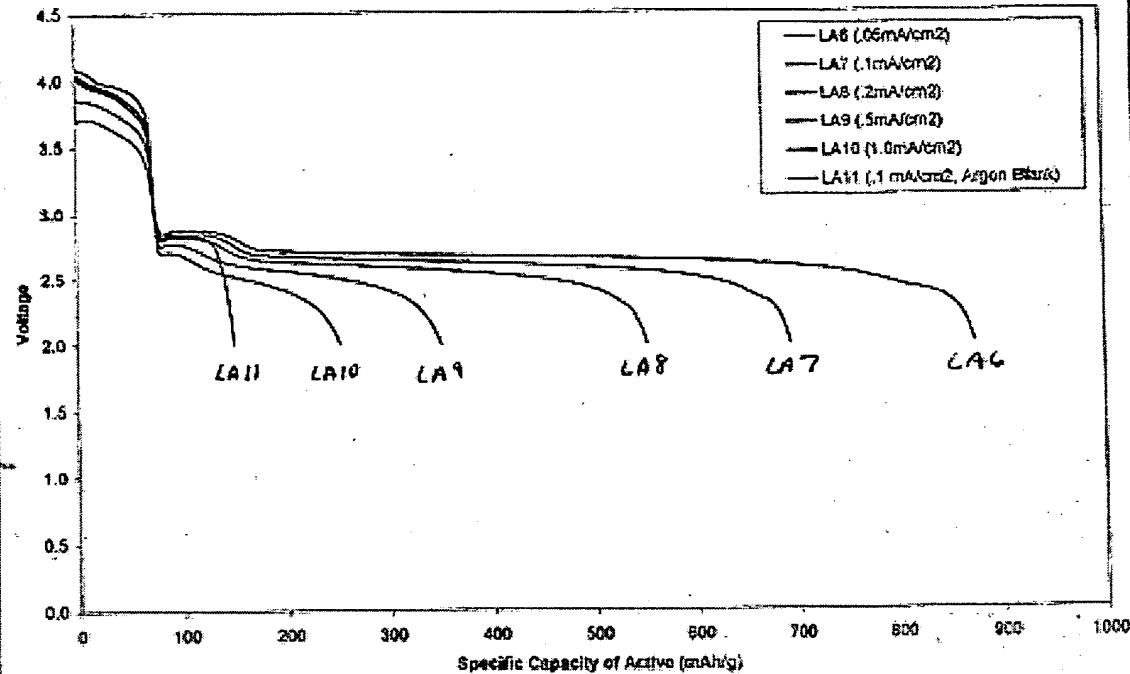
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Results for Li-Air Cells LA6 - LA11

3/21/01

Specific Capacity of Active  
(11 mil cathodes)

The Specific Capacity of the Active components ( $\text{Li-Mn}_2\text{O}_3 + \text{Carbon}$ ) decreases quite rapidly with discharge rate. The specific capacity of the electrode in the absence of  $\text{O}_2$  is shown in LA11 where only the  $\text{Li-Mn}_2\text{O}_3$  capacity discharges. The limited rate capability may be due to slow kinetics of the  $\text{O}_2$  reduction or  $\text{O}_2$  solubility in the electrolyte.

The energy density plot for these cells shows a maximum of nearly 2500 Wh/kg at  $.05 \text{ mA/cm}^2$ , extremely high for any battery system.

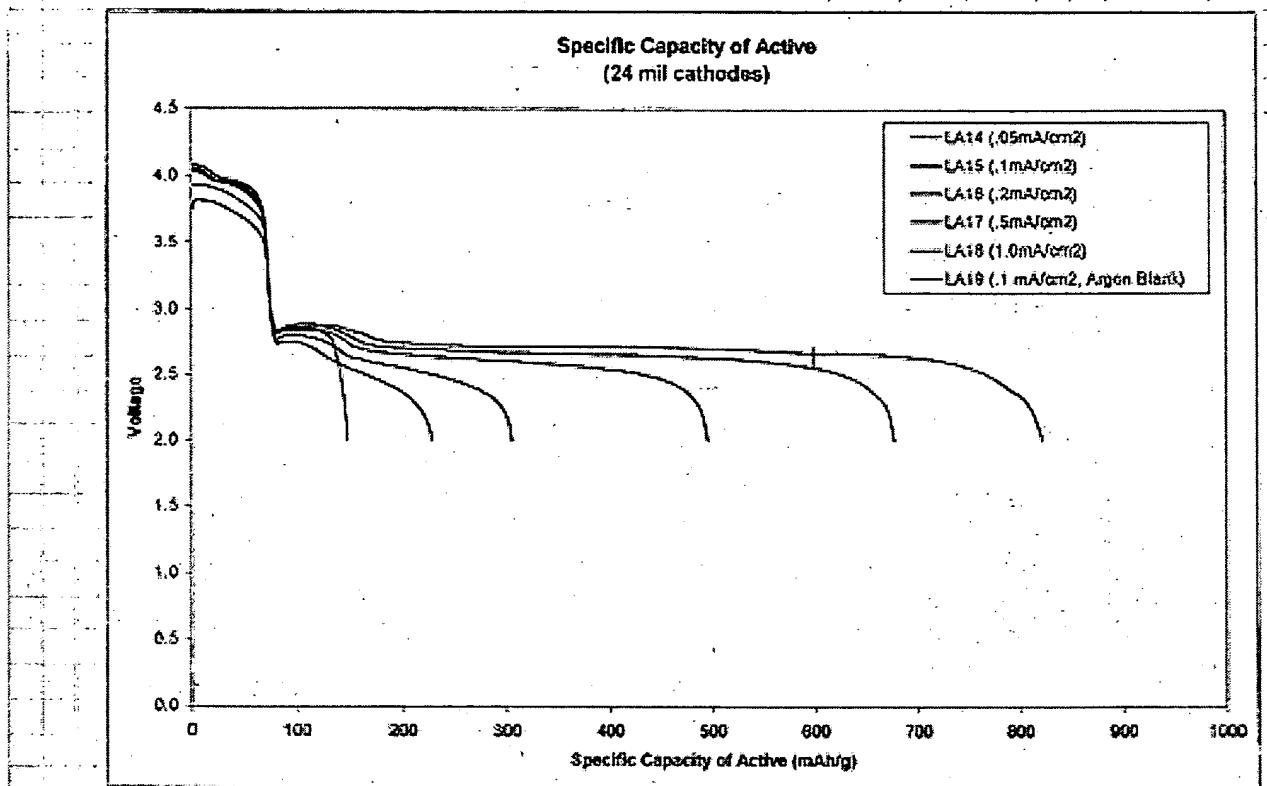
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Results for Li-Air Cells LA14-LA19

3/21/01



Alls LA14 - LA19 show identical behavior to those of LA6 - LA11 with some slight decrease in capacity due to the thickness of the electrodes being 24 mils instead of 11 mils.

rate	Spec Cap Active (mAh/g)		Spec Cap Carbon (mAh/g)		Specific Cap of Cathode (mAh/g)		Lambda
	11 mils	24 mils	11 mils	24 mils	11 mils	24 mils	
0.05	874	822	1900	1769	579	545	
0.1	893	877	1429	1388	459	449	103
0.2	552	498	1059	912	368	328	
0.5	351	305	531	411	232	202	161
1.0	253	229	273	210	167	151	155

The table summarizes the results for : Specific Capacity of Active ( $\lambda \cdot \text{Mol}_e + C_e$ ), Specific Capacity of Carbon ( $C_e$ ), Specific Capacity of Cathode ( $\lambda \cdot \text{Mol}_e + C_e$ )

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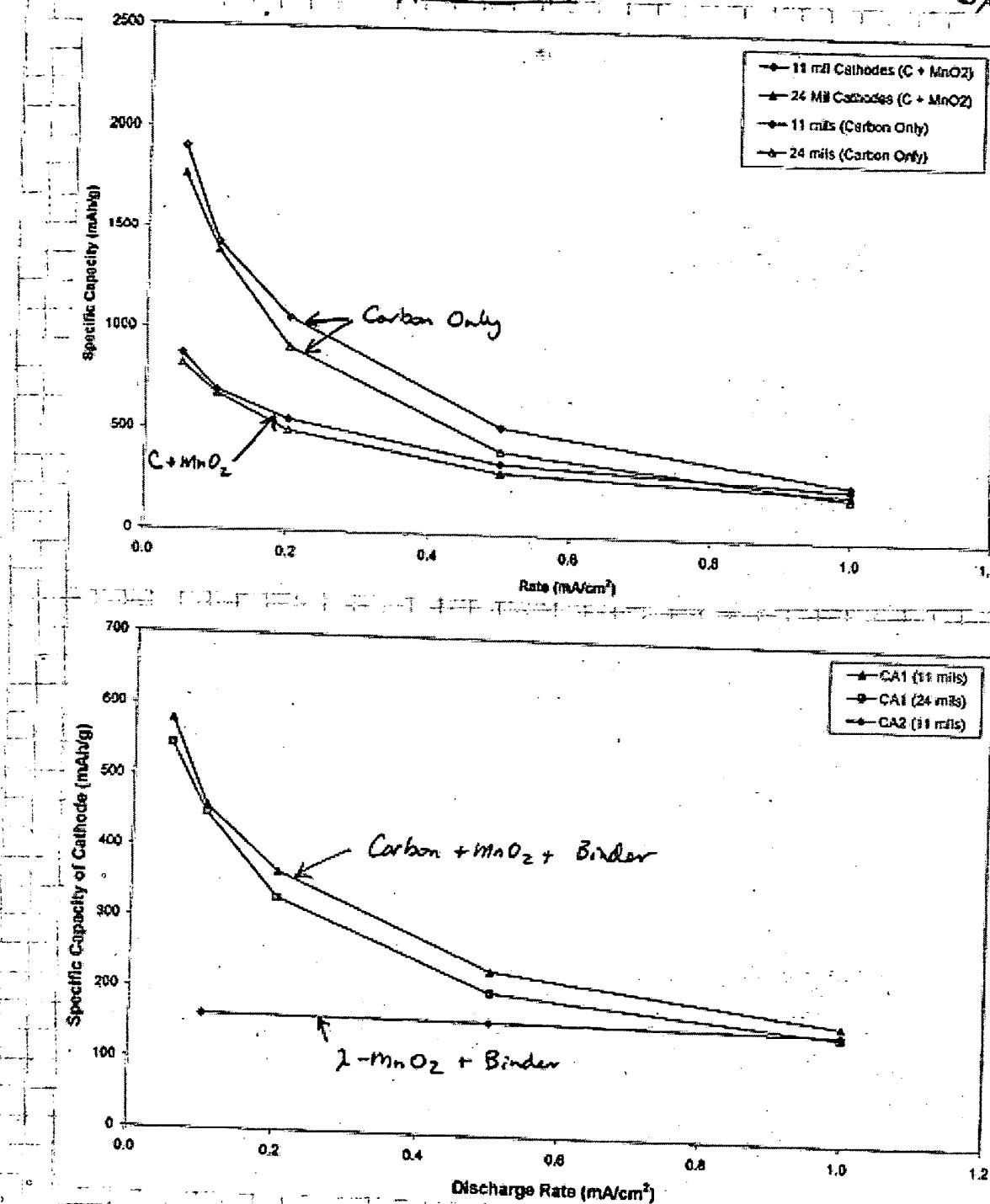
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Rate Curves for LA6 - LA19

3/21/01



The Specific capacity of the working cathode is much higher in the Li-Air cell than in the Li-2-mnO<sub>2</sub> cell.

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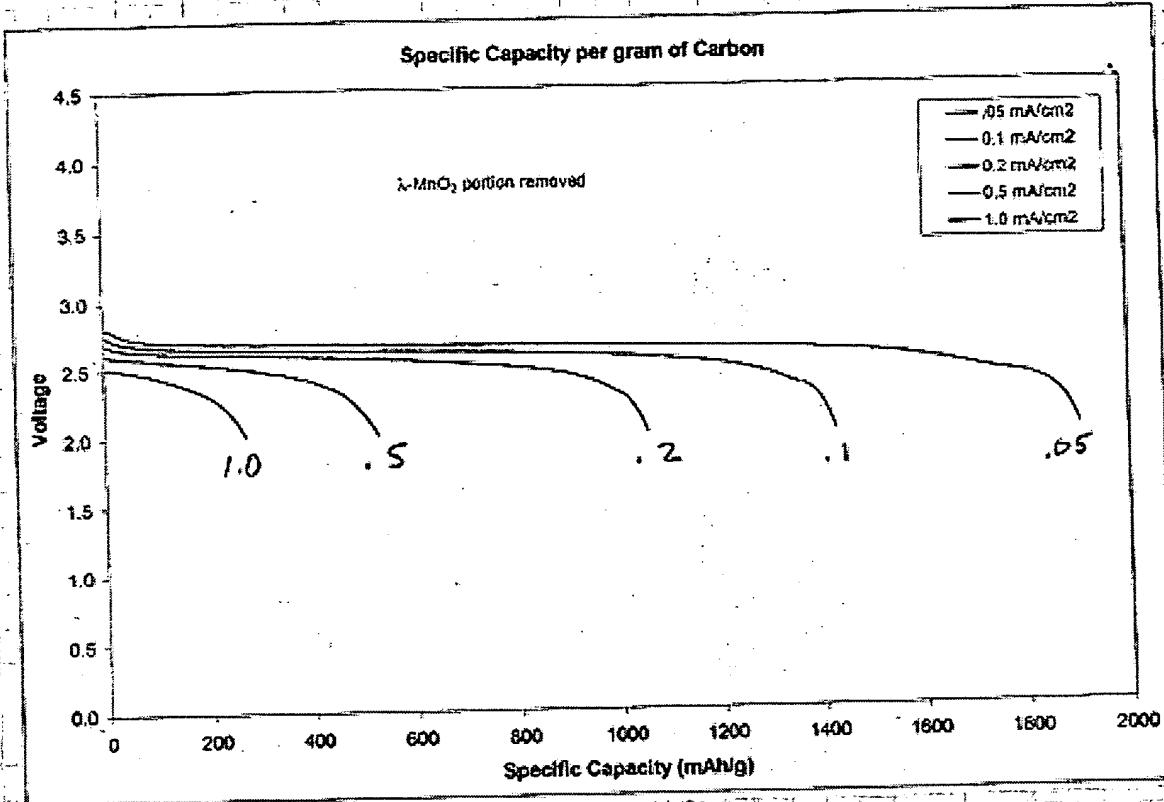
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Li-Air Cells LA6-LA10 (Carbon Specific Capacity)

3/21/01



When the specific capacity of the carbon only is calculated, based on the Super P graphite, the total specific capacity is exceedingly high. If this type of energy can be realized in an electrode/cell with 90% carbon, an extremely high energy density can be realized.

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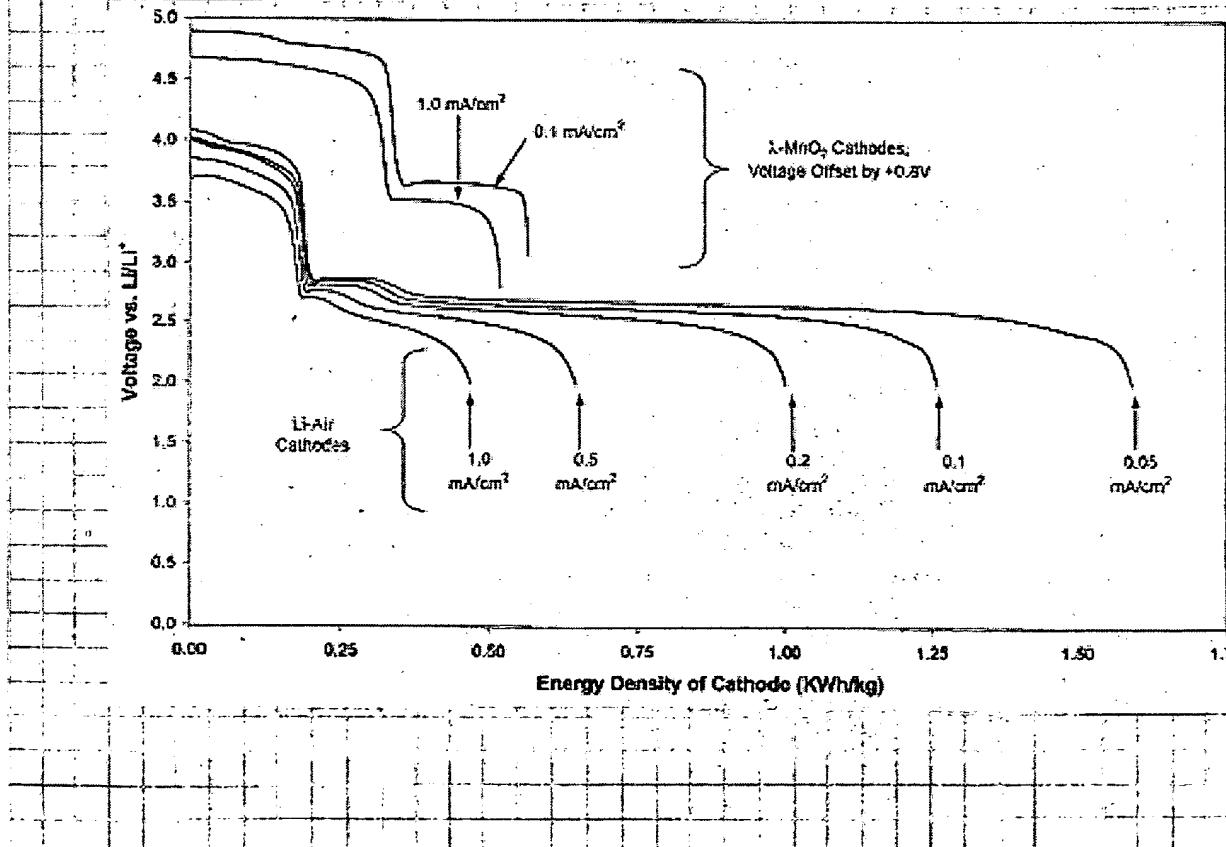
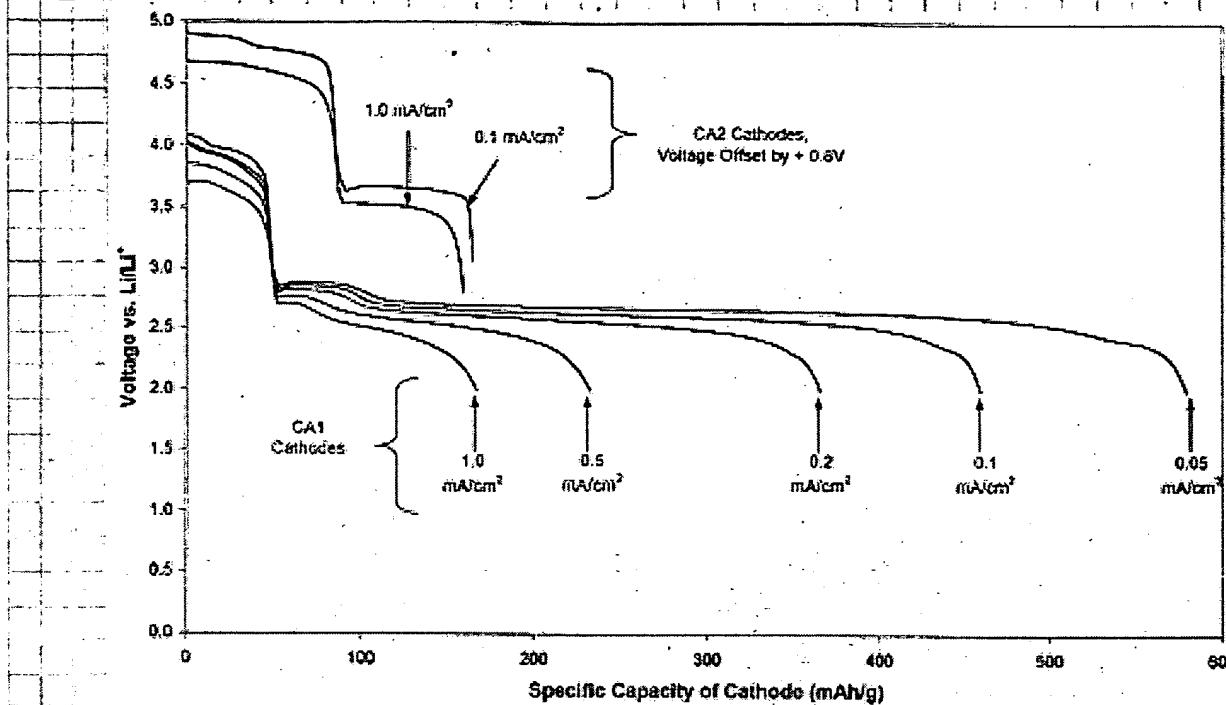
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Comparison of Li-Air to  $\lambda\text{-MnO}_2$ 

3/21/01

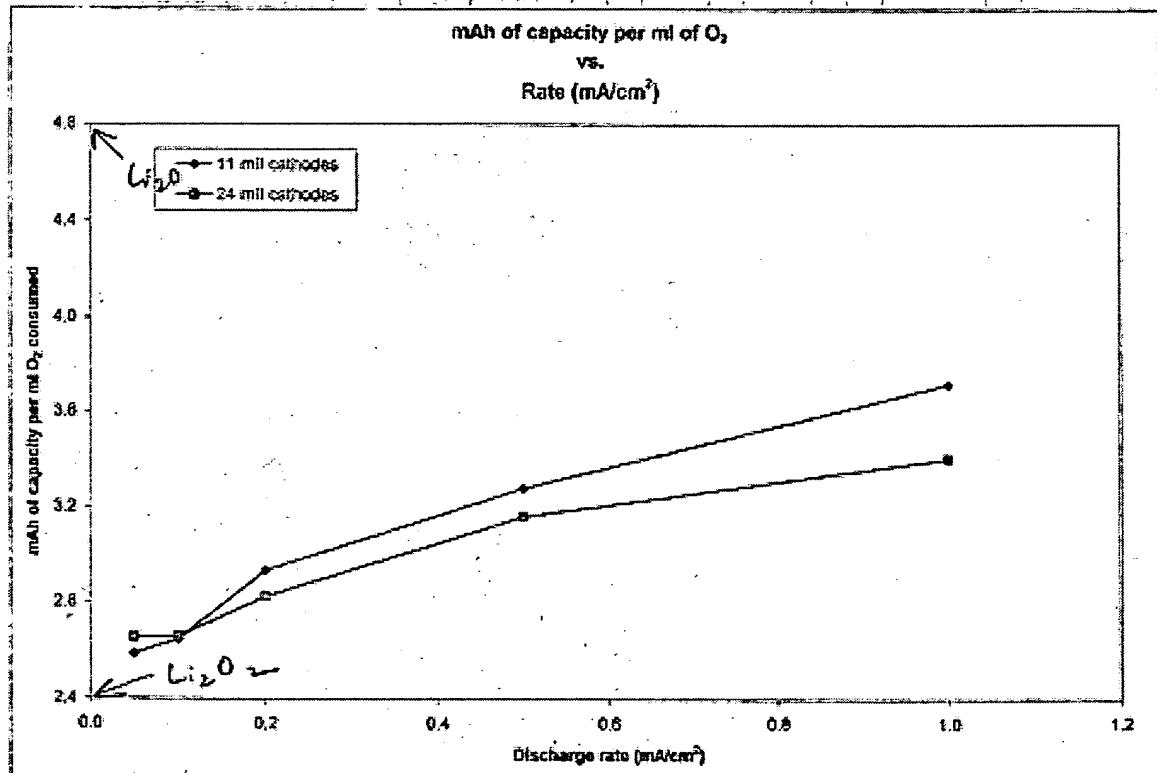


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O<sub>2</sub> gas consumption on Discharge of Li-Air Cells 3/21/01

Gas consumption (pure O<sub>2</sub>) was measured for LA6-LA19 after discharge at various rates. The gas consumption/mAh decreased as discharge rate increased. The mAh/ml O<sub>2</sub> for the formation of Li<sub>2</sub>O<sub>2</sub> is theoretically 2.4 mAh/ml and for Li<sub>2</sub>O 4.8 mAh/ml. The graph shows that at lower rates Li<sub>2</sub>O<sub>2</sub> is formed and as rate increases the formation of Li<sub>2</sub>O becomes more pronounced.



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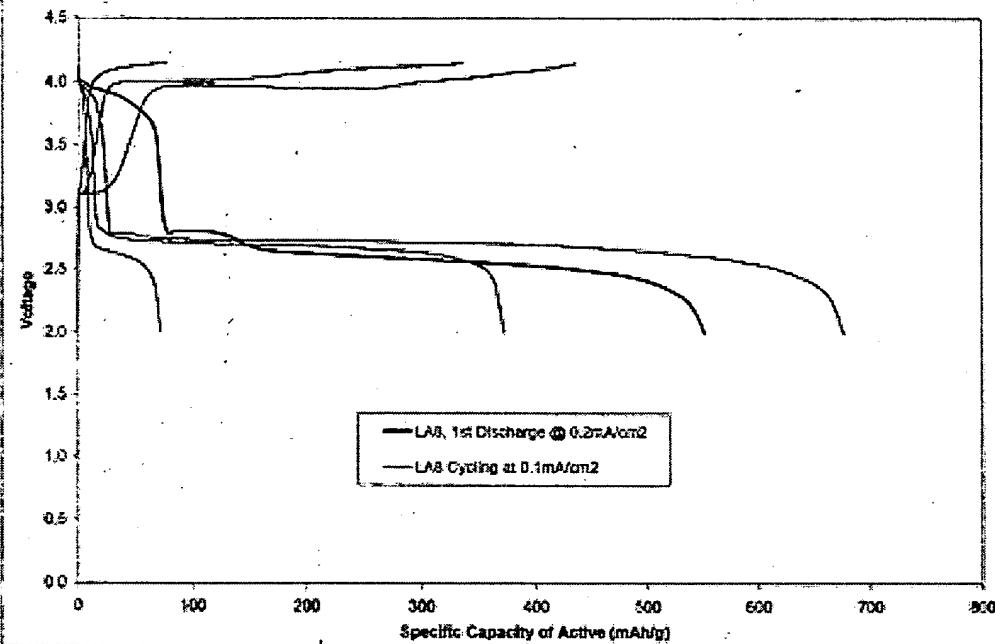
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LA8 Cyclic -  $0.2 \text{ mA/cm}^2$  initial discharge,  $0.1 \text{ mA/cm}^2$  cycling 3/21/01

LA8 was initially discharged at  $0.2 \text{ mA/cm}^2$  for rate studies. The cell was taken off of test then put back on to cycle at  $0.1 \text{ mA/cm}^2$  between 4.15 V and 2.0 V.

Specific Capacity of Active and Cycling of LAB



The 1<sup>st</sup> discharge at  $0.2 \text{ mA/cm}^2$  was 550 mAh/g Active. Upon charge, 436 mAh/g was recovered. The 2<sup>nd</sup> discharge was 676 mAh/g. The third, 373, the fourth, 169 mAh/g, 73 mAh/g.

The capacity fades quickly as the ability of the cell to charge decreases rapidly. The capacity appears to be limited by the cells ability to recharge.

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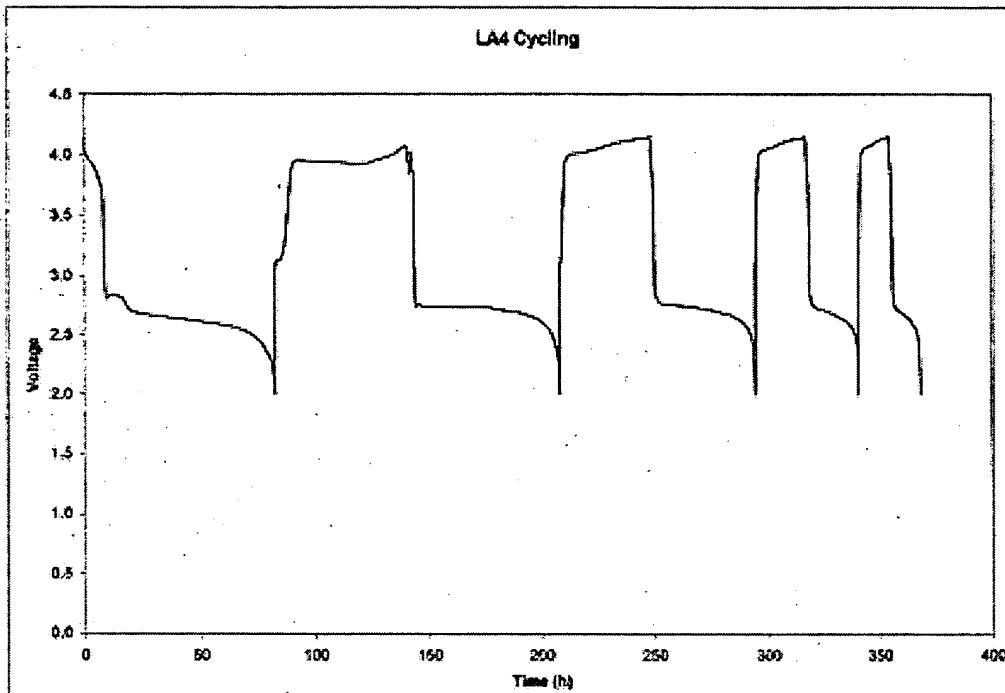
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LA4 cycling

3/1/01.

There were several cells built simply designed to cycle at low rate. LA4 was such a cell, cycled between 4.15 V and 2.0 V at 0.1mA/cm<sup>2</sup>. LA5 was cycled between 4.15 V and 2.5 V at 0.1mA/cm<sup>2</sup>.

The cycling of LA4 is shown below. The capacity fade is apparent on successive cycles.



LA5 showed nearly identical behavior to LA4 even with the higher (2.5V) cut off.

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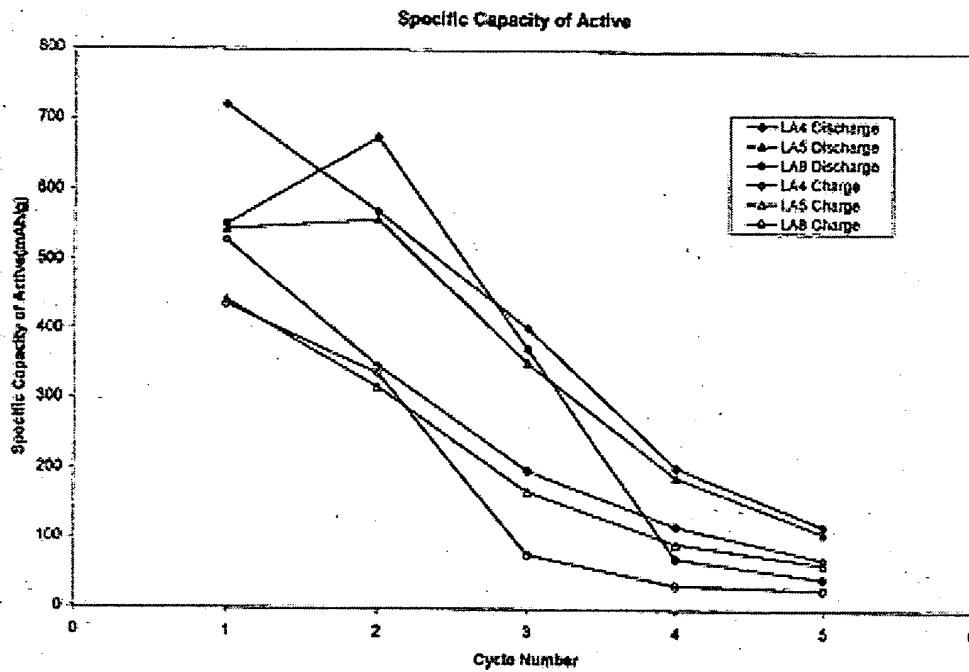
  
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LA4, LA5 and LA8 cycling.

3/21/01

The cells LA4-8 all cycled in similar fashion with the charge capacity determining capacity loss.



#### Specific Capacity of Active Components

Cycle	LA4		LA5		LA8	
	Discharge	Charge	Discharge	Charge	Discharge	Charge
1	721	530	545	442	552	436
2	571	348	559	317	676	337
3	403	199	352	169	373	78
4	205	120	191	95	73	35
5	121	72	111	66	45	29

2021      1269      1758      1089      1719      915

The specific capacity of the active components is extremely high, but holds only for several cycles.

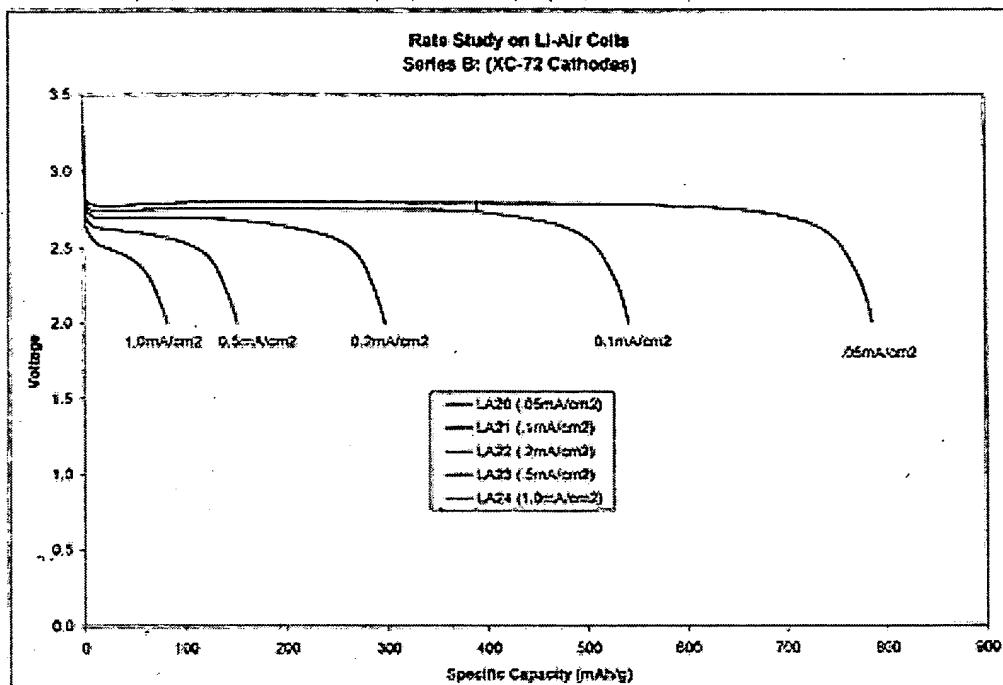
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Li-Air Cells LA20-24 : (XC-72 Carbon.)

3/22/01



Jeffrey Read  
ARL

3/21/01  
Li-Air Series B vs  
Rate SCA (42 mAh)

(Series B)

Cells built with higher surface area carbon demonstrated similar performance to the Super P carbon used in cells LA4-LA19. Based on oxygen weight alone, the higher surface area material actually performed at a lower capacity.

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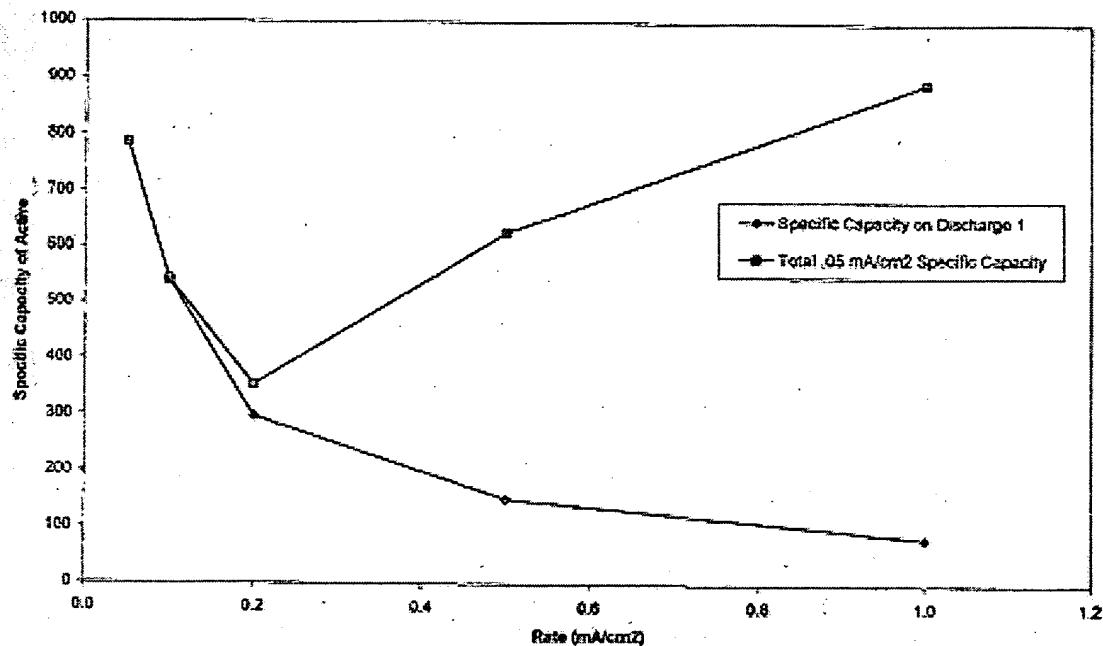
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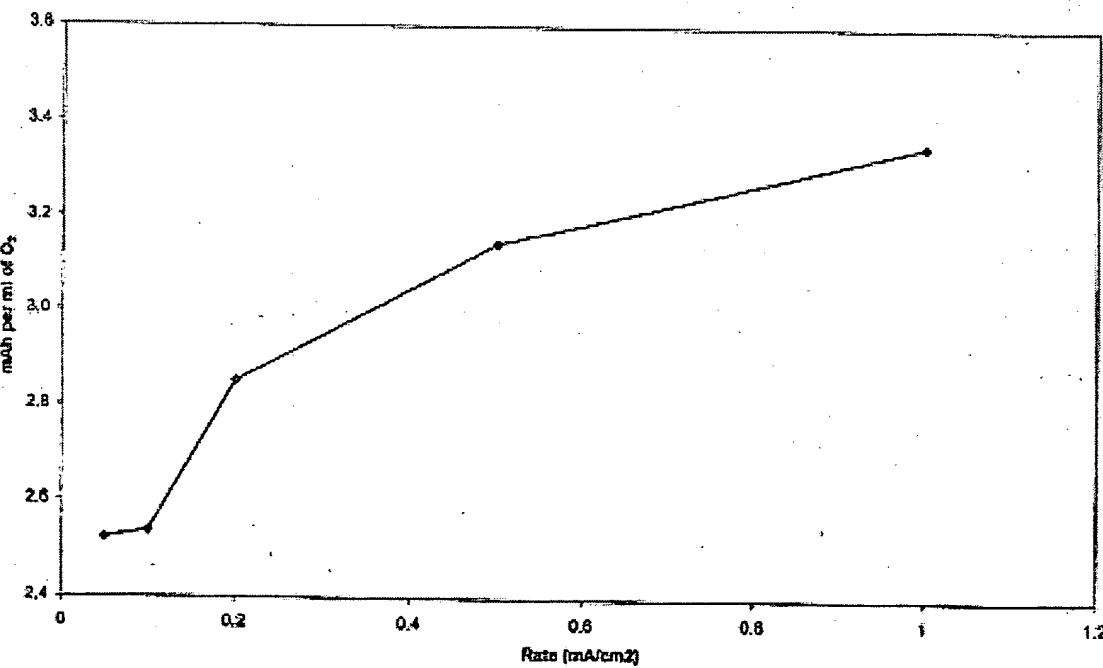
Li-Air Cells LA20-LA24(XC-72 Carbon)

3/22/01

Specific Capacity vs. Rate for Discharge 1  
and  
Total Specific Capacity with Discharge 2 at .05mA/cm<sup>2</sup>



SERIES B  
mAh of Capacity per ml O<sub>2</sub> v. Discharge rate (mA/cm<sup>2</sup>)



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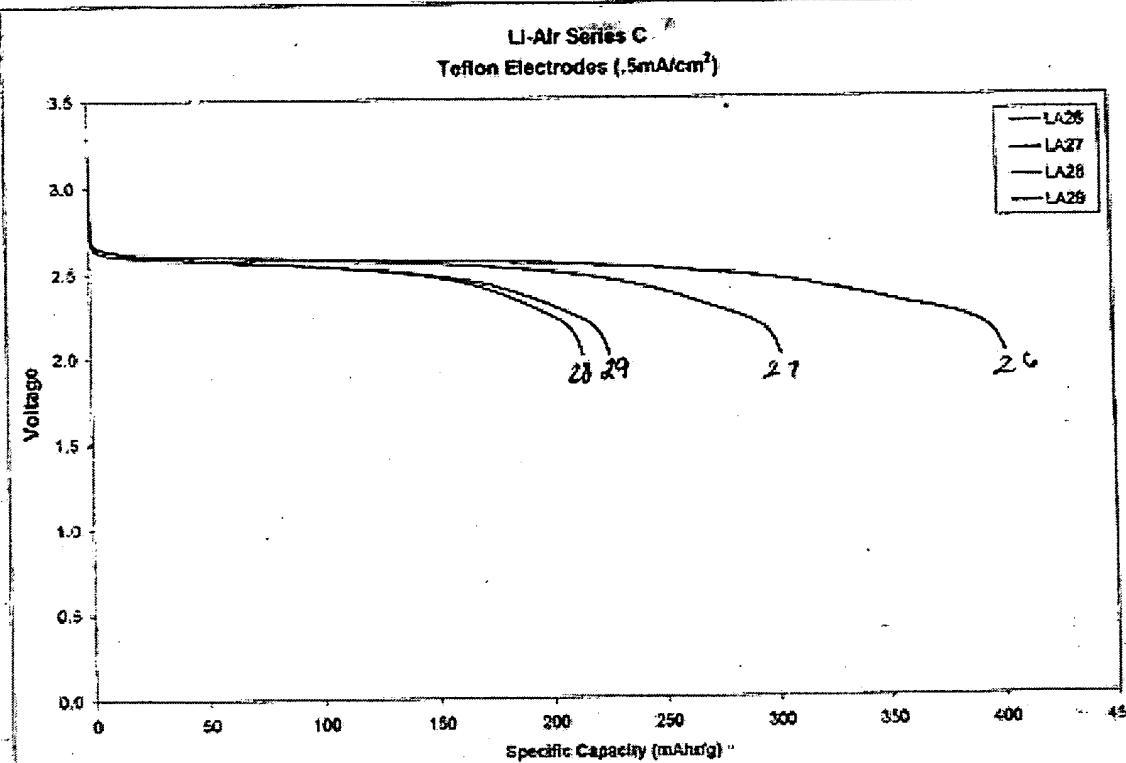
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Li-Air Cells LA26-LA29 Teflonated Electrodes, Super P

3/2



- Teflonated Electrodes of Super P (91% carbon) were discharged at 5mA/cm<sup>2</sup>.
- The electrodes performed similarly to the Super P in CA4-CA9. Thus on a per gram carbon basis, the capacity was similar.
- Upon discharge of cells LA27, 28 & 29 at .1mA/cm<sup>2</sup>, an additional (at 20°C) 500, 315 & 485 mAh/g of capacity is extracted.

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MICA Grid Treatment - Prep. (1:1 mica : SuperP) 3/28/01

$$\text{SuperP} = 2.7014 \text{ g}$$

$$\text{IPA} = 54.9690 \text{ g}$$

$$\text{Deionized Water} = 54.0280 \text{ g}$$

$$\text{MICA D-709} = 13.0050 \text{ g}$$

The mixture was homogenized for 10 minutes on level 4 on the PRO 250.

1M LiPF<sub>6</sub> - 8-BL Electrolyte Prep. (50 ml)

3/28/01

X-BL Water Runs: Run 1: 33.2 μg/2ml = 16.6 μg/ml

Run 2: 32.2 μg/2ml = 16.1 μg/ml

$$\text{LiPF}_6 = 7.8718 \text{ g}$$

1M LiPF<sub>6</sub> - 8-BL final volume ≈ 46 ml

Lot# N4P23A

Electrolyte turned brown after several days

3/30/01

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3/30/01  
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1M LiPF<sub>6</sub> PC Electrolyte Prep.

4/3/01

PC Water Test: 2 ml @ 37.6 μS = 19 μg/lmL

2 ml @ 39.0 μS = 19 μg/lmL

50 ml electrolyte prepLiPF<sub>6</sub> = 7.8752 gLot # N4 P25ACycling Study on Li-Air cells LA 31, LA 32

4/5/01

- ① heat for 3 hours
- ② Discharge @ 1 mA/cm<sup>2</sup> to 2.0V
- ③ Charge and Discharge between 4.15V and 2.0V @ 1 mA/cm<sup>2</sup>
- ④ Cycle 15 cycles.

Test Name: LA 31B

Cell	Before		After		
	wt. in air	wt. in water	wt. in air	wt. in water	
LA 31	13.88g	-63.89	1	13.90g	-53.77
LA 32	13.75g	-36.15g	2	13.76g	-76.97

Gas Volume Study #1

4/5/01

Cell	Before		After Discharge	
	wt. in air	wt. in water	wt. in air	wt. in water
LA 30	12.35g	-113.27	751.21g	-102.64 1240g

Run all on PC X 1/2. (1 mA/cm<sup>2</sup>)

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J. H. Clark  
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Date

Rate Study on Li-Air w/ 3 electrolytes 4/9/01

Ran impedances on LA37-LA45

(Series A)

LA37 - LA39  $\rightarrow$  150 - 220  $\Omega$  (GLIPF<sub>E</sub> EC:OMC (2:1))

LA40 - LA42  $\rightarrow$  1000 - 1500  $\Omega$  (1M LiPF<sub>E</sub> PC) Poor Sep. wetting?

LA43 - LA45  $\rightarrow$  40 - 60  $\Omega$  (1M LiPF<sub>E</sub> 8-BL)

Cell	Before Disch.		Ch.	Rate	After Disch.	
	wt in air	wt in water			wt in air	wt in water
LA37	13.17	-59.04	3	.05 mA/cm <sup>2</sup>	13.179	-48.70
LA38	12.94	-69.16	4	.2 mA/cm <sup>2</sup>	12.919	-65.72
LA39	13.00	-59.52	5	1.0 mA/cm <sup>2</sup>	13.009	-59.20
LA40	13.35	-59.24	6	.05 mA/cm <sup>2</sup>	13.359	-54.94
LA41	13.18	-64.40	7	.2 mA/cm <sup>2</sup>	13.189	-62.86
LA42	13.35	-48.37	8	1.0 mA/cm <sup>2</sup>	13.359	-48.51 -48.
LA43	12.95	-61.08	20	.05 mA/cm <sup>2</sup>	12.939	-51.51
LA44	13.31	-68.67	21	.2 mA/cm <sup>2</sup>	13.319	-64.88
LA45	13.31	-68.43	22	1.0 mA/cm <sup>2</sup>	13.319	-68.03

All cells were discharged after a 3 h rest to 2.0 V at the rate specified

4/12/01

Rate Study on Li-Air w/ Triton 100x added PC: on E. Elec.

(Series A)

Ran Impedances

LA47, LA48, LA49  $\rightarrow$  30 - 40  $\Omega$

before Disch.

Cell	before Disch.		Ch.	Rate	After Disch. (4/10/01)	
	wt in air	wt in water			wt in air	wt in water
LA47	11.85	-59.01	46	.05 mA/cm <sup>2</sup>	11.879	-40.179
LA48	12.60	-66.20	47	.2 mA/cm <sup>2</sup>	12.629	-57.129
LA49	12.75	-84.53	48	1.0 mA/cm <sup>2</sup>	12.759	-83.079

21.5°C  
95% RH

Cells discharged at given rate to 2.0 V after 3 h rest.

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J. Thyrolar  
Signature

4/23/01

Date

Heat Treatment of AB-Grade EMD (-325 mesh)

4/10/01

(Lot #: N3P83A (Initial Sieved Powder))

Initial wt = 127.1472 g

Final wt = 121.0326 g

380°C for 24 hr (1:05 - 12:30)

4/11/01 4/12/01

Lot # N4P27B

4/12/01

Prep. of 1% Triton - 100X PC: DME electrolyte

Triton 100X = 1100g

Dissolved into 100 ml of 1M LiPF<sub>6</sub>. PC: DME (N4P10A)

Lot # N4P27A

4/12/01

GAS Volume Study #2

Cell	Before Disch.		After Disch.		Impedance -
	wt. in Air	wt. in water	wt. in air	wt. in water	
CA33	12.89g	-62.74g	59.75	91.2751	38 ohm @ 10 Hz.

Ran on 0.5 A X 5 R (.5 mA/cm<sup>2</sup>)

Initial Burst Volume = 23.8 cc before equilibration

28 cc near equil.

Final Burst Volume = 32 cc

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Jeffrey Kest  
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HT of Ken-McCabe AB Grade EMD

4/12/01

(wt # 1659) (Not Sieved.)

Initial wt. = 82.1530 g Final wt. = 78.5332

HT @ 380°C in air for 24 hours (2:30 p. - 1:35 p.)  
4/12/01 4/12/01

Lot # N4 P28 A

4/13/01

Gas Volume Study # 3

cell	Before		After		Temperature
	wt in water	wt in air	wt in water	wt in air	
LA 34	12.38g	- 89.76g	12.40g	- 79.86	485°C 10 Hz

Run on DCK05R PC X2R (.2 m²/cm²)

HT of Ceramalloy AB Grade EMD (+3.25 mm)

4/16/01

Lot # N3 P85 B (+3.25)

Initial wt. = 100.7283 g Final wt. = 95.9547

HT @ 380°C in air for 24 hours (noon - 6pm)  
4/16/01 4/17/01

LOT # N4 P28 B

4/16/01

HT of 2-MnO₂

Lot # AW-46

Initial wt. = 11.7872 g Final wt. = 11.6864

HT @ 380°C in air for 24 hours (noon - 6pm)  
4/16/01 4/17/01

Lot # N4 P28 C

4/18/01  
Date

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## EXHIBIT B 36/38 HDL

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Water Determinations on dried Solvents

4/18/01

PC:DM E (NYP31A)

Run 1: 1.1 ml @ 31.5 µg = 28.6 µg/ml  
 Run 2: 2.0 ml @ 56.3 µg = 28.2 µg/ml

To High

δ-BL

Run 1: 1.0 ml @ 201.7 µg = 201.7 µg/ml  
 Run 2: 1.0 ml @ 178.0 µg = 178.0 µg/ml

To High

THF

Run 1: 1.0 ml @ 20.8 µg = 20.8 µg/ml  
 Run 2: 2.0 ml @ 26.1 µg = 13.0 µg/ml  
 Run 3: 0.4 ml @ 6.8 µg = 17.0 µg/ml

OK to USE

THP

Run 1: 1.1 ml @ 14.7 µg = 13.5 µg/ml  
 Run 2: 2.0 ml @ 26.2 µg = 13.1 µg/ml

OK to USE

1,4-Dioxane

Run 1: 1.1 ml @ 16.5 µg = 15.0 µg/ml  
 Run 2: 2.0 ml @ 29.0 µg = 14.5 µg/ml

OK to USE

4/23/01

PC:DM E (NYP31A)

Run 1: 2.0 ml @ 30.3 µg = 15.2 µg/ml  
 Run 2: 2.0 ml @ 29.9 µg = 15.0 µg/ml

OK to USE

δ-BL

Run 1: 1.0 ml @ 55.6 µg = 55.6 µg/ml  
 Run 2: 1.0 ml @ 31.7 µg = 63.4 µg/ml  
 Run 3: 1.0 ml @ 54.9 µg = 54.9 µg/ml

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*John Alred*  
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4/23/01  
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Preparation of <sup>9N</sup> Cyclic Cyclic Ethers for PC-Ether DK

4/23/01

Preparation of Electrolytes for Rate Study PC: Cycle Ether

Electrolytes to Picone: PC: THF, PC: THP, PC: 1,4-Dioxane all 1:1 w/w  
LiPF<sub>6</sub>: FW = 151.9 g/mole  
50 ml each prep.

1M LiPF<sub>6</sub>  
PC: THF (1:1)

$$\begin{aligned} \text{PC: } & 13.939 \text{ g} + 5.148 \text{ g} + 4.620 \text{ g} + 4.400 \text{ g} = 24.147 \text{ g} \\ \text{THF: } & 15.399 \text{ g} + 3.458 \text{ g} + 4.045 \text{ g} + 1.027 \text{ g} = 23.929 \text{ g} \\ \text{LiPF}_6: & 7.559 \text{ g} \end{aligned}$$

Lot # N4P33A

1M LiPF<sub>6</sub>  
PC: THP (1:1)

$$\begin{aligned} \text{PC: } & 15.347 \text{ g} + 8.139 \text{ g} + 4.90 \text{ g} = 23.976 \text{ g} \\ \text{THP: } & 16.501 \text{ g} + 7.092 \text{ g} + 4.21 \text{ g} = 24.014 \text{ g} \\ \text{LiPF}_6: & 7.591 \text{ g} \end{aligned}$$

Lot # N4P33B

1M LiPF<sub>6</sub>  
~~(PC: DME (1:1))~~

1M LiPF<sub>6</sub>  
PC: 1,4-Dioxane

$$\begin{aligned} \text{PC: } & 14.695 \text{ g} \\ \text{1,4-Dioxane: } & 17.147 \text{ g} \\ \text{LiPF}_6: & 7.664 \text{ g} \end{aligned}$$

Turned to a gelatinous mass.

1M LiPF<sub>6</sub>  
PC: DME (1:1) (250 ml)

$$\begin{aligned} \text{PC: DME: } & \text{Lot # N4P33B } \approx \text{Lot # N4P31A} \\ \text{LiPF}_6: & 38.128 \text{ g} \end{aligned}$$

Lot # N4P33C

Rate Study of Li-Air w/ 3 electrolytes

(Series A)

4/24/01

Cell	$2^{\circ}\text{C} 10\text{s}$	Before Disch. (224,751) wt/mass wt in H <sub>2</sub> O	Ch. Rate	After Disch. wt/mass wt in H <sub>2</sub> O
✓ LASO	28.52	13.09	-59.76	13.10
✓ LASI	32.52	12.27	-74.61	12.26
✓ LAS2	34.52	11.60	-83.91	11.59
✓ LAS3	37.52	12.64	-84.18	12.65
✓ LAS4	40.52	12.75	-124.41	12.75
LASS	41.52	12.23	-75.87	12.22
✓ LAS5	52.52	12.91	-106.59	12.90
✓ LAS7	47.52	12.70	-77.16	11.61
✓ LAS8	48.52	12.53	-82.57	11.49

5/11/01

Rate Studies of the Li-Air cell are being done to evaluate the effects of various components on the rate behavior. The electrolyte has a strong effect on rate capability and discharge capacity. It is expected that electrolytes capable of dissolving O<sub>2</sub> should give better rate capability and discharge capacity.

Oxygen solubility has been determined for several common electrolyte solvents, see Solubility Data Series; v.7 Oxygen & Ozone, NIST Call # 8595.18.

## Solvent

## Solubility

Propylene Carbonate

 $1.5 \times 10^{-2} \text{ cm}^3 \text{ O}_2 / \text{cm}^3 \text{ solvent}$ (Pure O<sub>2</sub>) $\gamma$ -Butyrolactone $5.0 \times 10^{-2} \text{ cm}^3 \text{ O}_2 / \text{cm}^3 \text{ solvent}$ (Pure O<sub>2</sub>)

Tetrahydrofuran

 $22 \times 10^{-2} \text{ cm}^3 \text{ O}_2 / \text{cm}^3 \text{ solvent}$ (Pure O<sub>2</sub>)

Tetrahydro-2H-pyran

 $22 \times 10^{-2} \text{ cm}^3 \text{ O}_2 / \text{cm}^3 \text{ solvent}$ (Pure O<sub>2</sub>)

Perfluoroethylperfluorotetrahydrafuran

 $54 \times 10^{-2} \text{ cm}^3 \text{ O}_2 / \text{cm}^3 \text{ solvent}$ (Pure O<sub>2</sub>)

Dimethyl Sulfone

 $4.9 \times 10^{-2} \text{ cm}^3 \text{ O}_2 / \text{cm}^3 \text{ solvent}$ (pure O<sub>2</sub>)

The solvents are useful in Li-Air batteries and should the ones with high oxygen solubility be used, capacity & rate capability should agree.

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